

FOIA REQUESTS				
16-Mar-23				
2303-1618-0144-87		All 12/31/22 figures unless noted otherwise		
REGISTERED BROKER / FCM NAME	NFA #	ADJUSTED NET CAPITAL	NET CAPITAL REQUIREMENT	EXCESS NET CAPITAL
Roy E. Abbott Futures, Inc.	#0287150		GIB	
Acuvest Brokers, LLC	#0394459		GIB	
ADM Investor Services, Inc.	#0000360		FCM	
Advance Trading Inc.	#0090309	\$ 3,105,709	\$ 45,000	\$ 3,060,709
Advantage Futures	#0327359		FCM	
AgDairy	#0319211		withdrew 2005	
AGN Futures	#0290842	\$ 52,930	\$ 45,000	\$ 7,930
Ag Optimus	#0481133	\$ 391,687	\$ 45,000	\$ 346,687
aiSource (DBA for Lakefront, see below)	#0277198			
Allendale Inc.	#0002856		GIB	
Altavest Worldwide Trading, Inc.	#0277488		GIB	
ALTAVRA Inc.	#0348818		GIB	
Amerex Brokers LLC	#0445107		GIB	
AMP Futures	#0412490		FCM	
A Packard Trading (APT) (DBA for Zaner, on other sheet)				
ApexFutures	#0425012	\$ 104,498	\$ 45,000	\$ 59,498
Applegate Commodities, LLC	#0439480		withdrew 2021	
ATC Brokers	#0358522		withdrew 2022	
Atlas Commodities, LLC	#0426825	\$ 590,971	\$ 45,000	\$ 545,971
Atlas Commodities Markets, LLC	n/a		no prior status	
Atten Babler Commodities LLC	#0436239		GIB	
Axiom Derivatives, LLC	#0470545		withdrew 2018	
BBL Brokers LLC	#0512074	\$ 64,967	\$ 45,000	\$ 19,967
Beverly Hills Capital	#0264961	\$ 8,144,084	\$ 45,000	\$ 8,099,084
BGC Financial, L.P. MINT	#0257116	\$ 11,240,496	\$ 315,565	\$ 10,924,031
BGC Partners Inc.	#0462361		no prior status	
Blue Line Futures, LLC	#0506266	\$ 58,942	\$ 45,000	\$ 13,942
Blue Ocean Brokerage LLC	#0454053		withdrew 2018	
Bosworth Brokers LLC	#0443790	\$ 215,539	\$ 45,000	\$ 170,539
Breitinger & Sons	#0284447		GIB	

Broker Junction, Series L.L.C.	#0357061			withdrew 2022		
BTR Trading Group	#0422205	\$ 100,627	\$ 45,000	\$ 55,627		
Cannon Trading Company	#0216708	\$ 340,967	\$ 45,000	\$ 295,967	8/31/2022	
Capital Trading Group	#0374361	\$ 590,268	\$ 45,000	\$ 545,268		
CattleHedging.com, LLC	#0314511			GIB		
Cattlenomics, LLC	#0525937			GIB		
CES Capital International (Hong Kong) Ltd.	n/a			no prior status		
CHS Hedging, LLC	#0409082			no prior status		
CIH Trading, LLC	#0378210	\$ 1,910,517	\$ 45,000	\$ 1,865,517		
Citron Commodities, LLC	#0503829	\$ 716,808	\$ 51,000	\$ 665,808		
Cleartrade Commodities	#0310150			withdrew 2023		
Click Futures	#358056			GIB		
Columbia Futures Group	#229879			GIB		
Commodity Risk Management Group (CRMG)	#349607	\$ 608,939	\$ 135,000	\$ 473,949		
CommStock Investments	#86294			GIB		
Compass Ag Solutions	#0442312			GIB		
Conover Futures Group, LLC	#0444293			GIB		
Coquest, Inc.	#0232575	\$ 1,748,492	\$ 45,000	\$ 1,703,492		
Cornerstone Futures, LLC	#0445956	\$ 659,898	\$ 45,000	\$ 614,898		
Crunch Risk, LLC	#0452412	\$ 155,436	\$ 45,000	\$ 110,436		
Cunningham Commodities, LLC	#0001398			FCM		
DairyVisor Inc.	#0392732			GIB		
Daiwa Capital Markets America Inc.	#0182017			FCM		
Daniels Trading	#0476094			FCM		
Daw Trading	#0002569			FCM		
Decarley Trading (DBA for Zaner, on other sheet)	#0001951					
Denali Futures, LLC	#0279035			GIB		
Discount Trading	#0283032			GIB		
Donnelly Partners, LLC	#0499047			no prior status		
Dorado Energy Services	#0391099	\$ 237,453	\$ 45,000	\$ 192,453		
Dorman Trading LLC	#0002569			FCM		
E*TRADE Securities LLC	#0320906			withdrew 2016		
Eagle Energy Brokers, LLC	#0440353	\$ 1,434,809	\$ 45,000	\$ 1,389,809		
Eclipse International Inc.	#0436281	\$ 788,805	\$ 72,000	\$ 716,805		

ED&F Man Capital Markets Inc. ("MCM") - now Marex Capital Markets	#0002613			FCM	
Edge Clear LLC	#0524928	\$ 596,719	\$ 45,000		\$ 551,719
EFL Futures Limited	#0343560			withdrew 2018	
eFloorTrade	#0300703			withdrew 2020	
EHedger, LLC	#0298405	\$ 430,029	\$ 45,000		\$ 385,029
ENGNSOL LLC	#0390255			no prior status	
EOX Holdings LLC	#0409750	\$ 628,488	\$ 207,000		\$ 421,488
EP Derivatives LLC	#0477869	\$ 69,563	\$ 45,000		\$ 24,563
eRoom Securities, LLC	#0305018	\$ 3,380,907	\$ 250,000		\$ 3,130,907
ETONG E-COMMERCE (SHANGHAI) CO., LTD	n/a			no prior status	
Evolution Markets, Inc.	#325395			withdrew 2008	
Excel Futures, Inc.	#0290172			GIB	
Foremost Trading, LLC	#0307930			withdrew 2021	
Futu Securities International (Hong Kong) Limited	n/a			no prior status	
Futures International LLC	#0350082	\$ 520,481	\$ 48,000		\$ 472,481
Futures Management Group, Inc	#0212664			withdrew 1993	
G. H. Financials, LLC	#0431801			FCM	
GA Global Markets, LLC	#0391091	\$ 274,172	\$ 45,000		\$ 229,172
GAIN Capital Group LLC	#0339826			FCM	
Generic Trade	#0419161			GIB	
GFF Brokers	#0500084	\$ 217,280	\$ 45,000		\$ 172,280
GFI Group	#0202302			no prior status	
Global Commodity Analytics & Consulting LLC	#0412612			GIB	
Go Futures (Now IronBeam)	#0415708			FCM	
Goldman Sachs				too many to list	
Great Lakes Trading Company, Inc.	#0254393			GIB	
Halifax America LLC	#479264			barred in 2021	
Hawkeye Commodity Brokers, Inc.	#0398215			GIB	
Heartland Management Investor Services, Inc.	#0282982			GIB	
Herbert J. Sims & Co., Inc.	#0442021	\$ 7,630,709	\$ 405,437		\$ 7,225,272
Heritage West Financial, Inc.	#229230			GIB	
High Ridge Futures LLC	#479985	\$ 1,887,106	\$ 45,000		\$ 1,842,106
HSBC Securities (USA) Inc.	#2170			FCM	
IASG Inc.	#245008	\$ 1,493,302	\$ 45,000		\$ 1,448,302

iBroker	#0425065	\$ 1,618,548	\$ 45,000	\$ 1,573,548
ICAP	#0304063	\$ 61,928,391	\$ 45,000	\$ 61,883,391
Infinity Futures, LLC	#0266560		no prior status	
Insignia Futures & Options	#0311135		withdrew 2005	
Interactive Brokers LLC	#0258600		FCM	
Ironbeam Inc.	#0415708		FCM	
IVG Energy (Appears to be part of Sage Energy Holdings)	#0391125	\$ 1,712,130	\$ 45,000	\$ 1,667,130
John Stewart and Associates, Inc. (JSA)	#0309517		GIB	
JPR Commodities of West Helena, Inc.	#0087107		GIB	
Kilo Futures LLC	#0515906		GIB	
Kluis Commodity Advisors	#0086156		FCM	
Lakefront Futures & Options, LLC	#0277198	\$ 531,621	\$ 114,000	\$ 417,621
LCMCommodities, LLC	#0397412	\$ 2,718,998	\$ 45,000	\$ 2,673,998
Lido Isle Advisors, LLC	#0428483		withdrew 2022	
Lightspeed Financial Services Group LLC	#0330872	\$ 5,069,829	\$ 304,674	\$ 4,765,155
Link Futures, LLC	#0466286	\$ 1,502,385	\$ 45,000	\$ 1,457,385
Linn & Associates Llc	#0483943	\$ 872,841	\$ 54,000	\$ 818,841
Loewen and Associates, Inc.	#0369778		GIB	
Macquarie Futures USA LLC	#0366355		FCM	
Marex Group			no prior status	
Mark Carolan			no prior status	
Mark IV Brokerage, LLC			no prior status	
Mastel Grain	#0075523		GIB	
MBF Clearing Corp.	#0205024	\$ 1,661,757	\$ 45,000	\$ 1,616,757
McNamara Options LLC	#0415906	\$ 178,789	\$ 45,000	\$ 133,789
Mizuho Securities USA LLC	#0088100		FCM	
Nanhua USA LLC	#0466494		FCM	
New Frontier Capital Markets, LLC	#0481466		GIB	
Newgate Global Markets LLC	#0474983	\$ 145,458	\$ 45,000	\$ 100,458
NinjaTrader	#0309379		FCM	
North Star Trading, LLC	#0424367		GIB	
Nova Energy, Inc	#0442454		GIB	
Optimus Futures LLC	#0481133	\$ 391,687	\$ 45,000	\$ 346,687
Paradigm Futures	#0539933		withdrew 2001	

Paragon Global Markets, LLC	#0474767	\$ 583,466	\$ 54,000	\$ 529,466
Paragon Investments, Inc	#0284447		GIB	
Park Avenue Asset Management Inc.	#0468829		GIB	
PFL Futures Limited	#0445671	\$ 118,894	\$ 45,000	\$ 73,894
Phillip Capital Inc.	#0422202		FCM	
Pinnacle Derivatives Group			no prior status	
Pit Base LLC	#0536168		withdrew 2023	
Powerhouse	#0232575	\$ 1,748,492	\$ 45,000	\$ 1,703,492
President			no prior status	
PRICE Futures Group, Inc	#0224634	\$ 770,626	\$ 72,000	\$ 698,626
Producers' Hedge, LLC	#0501257		GIB	
Quam Securities Company Limited (may now be China Tonghai International Financial Limited)			no prior status	

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R.J. O'Brien & Associates	#187		FCM	
RCM Alternatives	#425065	\$ 1,679,156	\$ 45,000	\$ 1,634,156
RGC Commodities	#529712	\$ 78,493	\$ 45,000	\$ 33,493
Rice Dairy LLC	#349607	\$ 608,949	\$ 135,000	\$ 473,949
RJO Futures	DBA for RJ O'Brien, see above	-	FCM	-
Roach Ag Marketing	#1957	\$ 1,151,851	\$ 45,000	\$ 1,106,851
Saima Group LLC	#475498	\$ 144,710	\$ 45,000	\$ 99,710
Santander Clearing Member (Santander Bank NA?)		-	no prior status	-
Charles Schwab Corporation		-	need more information	-
SJB Futures, LLC	#380318	\$ 47,711	\$ 45,000	\$ 2,711
Spectrum Commodities	#216589	-	GIB	-
Stage 5 Trading	#444666	\$ 631,260	\$ 45,000	\$ 586,260
Starfuels, Inc	#445416	\$ 683,893	\$ 66,000	\$ 617,893
StoneX Financial Inc.	#476094	-	FCM	-
Straits Financial	#425918	-	FCM	-
Striker Securities Inc.	#277685	\$ 112,254	\$ 45,000	\$ 67,254
Sweet Futures	#417463	\$ 651,294	\$ 54,000	\$ 597,294
Swift Trading	#234905	-	GIB	-
Syntex Energy LLC	#447504	\$ 81,510	\$ 45,000	\$ 36,510
TastyWorks	#492333	\$ 35,631,746	\$ 250,000	\$ 35,381,746
TD Ameritrade	#477394	-	FCM	-
Thalès Trading Solutions LLC	#439264	\$ 546,901	\$ 45,000	\$ 501,901
TJM Institutional Services LLC	#270490	\$ 1,183,243	\$ 45,000	\$ 1,138,243
Top Third Ag Marketing	DBA for StoneX, see above	-	-	-
Total Farm Marketing by Stewart-Peterson	#185491	-	GIB	-
Trade Futures 4 Less	DBA for Stage 5, see above	-	-	-
Trade Pro Futures and FOREX	#364262	\$ 69,002	\$ 45,000	\$ 24,002
TradeStation	#307871	-	FCM	-
Tradition (Compagnie Financière Tradition)	#304136	-	no prior status	-
Tradovate	#309379	-	FCM	-
TransAct Futures	DBA for Tradovate, see above	-	-	-
Transworld Futures	#283032	-	GIB	-
Trean Group LLC	#426055	\$ 470,015	\$ 45,000	\$ 425,015
Trident Brokerage Services LLC	#445409	\$ 3,953,393	\$ 45,000	\$ 3,908,393
Ulysses Commodities LLC	#44882	\$ 396,186	\$ 45,000	\$ 351,186

United Futures Trading	#283032	-	GIB	-	
Walsh Trading Inc	#276316	-	GIB	-	
Wedbush/efutures	#86156	-	FCM	-	
Wisdom Trading Inc	#336784	\$ 163,376	\$ 45,000	\$ 118,376	9/30/2022
X-Change Financial Access LLC	#370203	\$ 18,956,224	\$ 250,000	\$ 18,706,224	
Yue Xiu Futures Company Limited		-	no prior status	-	
Zaner	#1951	\$ 305,174	\$ 45,000	\$ 260,174	9/30/2022