

**Appendix A:  
The CFTC’s Robust Enforcement Program in the Crypto Space**

<b>Fiscal Year</b>	<b>Total Number of Digital Asset Cases</b>	<b>Number of Digital Asset Cases Alleging Fraud or Manipulation</b>
2023	7	7
2022	19	9
2021	23	8
2020	10	8
2019	4	3
2018	6	5
2017	1	1
2016	1	0
2015	2	0
<b>Total</b>	<b>73</b>	<b>41</b>

**FY2023 Digital Asset Cases**

1. [CFTC v. Jeremy Rounsville](#), CFTC Docket No. 23-02 (Nov. 3, 2022)
2. [CFTC v. Samuel Bankman-Fried, FTX Trading Ltd. d/b/a FTX.com \(FTX\), and Alameda Research LLC \(Alameda\), Caroline Ellison, and Gary Wang](#), No. 22-cv-10503 (S.D.N.Y. Dec. 13, 2022)
3. [CFTC v. Avraham Eisenberg](#), No. 23-cv-173 (S.D.N.Y. Jan. 9, 2023)
4. [CFTC v. Vista Network Technologies and Armen Temurian](#), No. 23-cv-01235 (E.D.N.Y. Feb. 15, 2023)
5. [CFTC v. Nishad Singh](#), No. 23-cv-01684 (S.D.N.Y. Feb. 28, 2023)
6. [CFTC v. Changpeng Zhao, Binance Holdings Limited, Binance Holdings \(IE\) Limited, and Binance \(Services\) Holdings Limited](#), No. 23-cv-01887 (N.D. Ill. Feb. 27, 2023)
7. [CFTC v. Rashawn Russel](#), No. 23-cv-02691 (E.D.N.Y. April 11, 2023)

**FY2022 Digital Asset Cases**

1. [CFTC v. Tether Holdings Limited, et al.](#), CFTC Docket No. 22-04 (Oct. 15, 2021)
2. [CFTC v. iFinex Inc., BFXNA Inc., and BFXWW](#), CFTC Docket No. 22-05 (Oct. 15, 2021)
3. [CFTC v. Blockratize, Inc. d/b/a Polymarket.com](#), CFTC Docket No. 22-09 (Jan. 03, 2022)
4. [CFTC v. Dwayne Golden, et. al.](#), No. 22-cv-1252 (E.D.N.Y. filed March 8, 2022)
5. [CFTC v. James Ward](#), CFTC Docket No. 22-12 (Mar. 8, 2022)
6. [CFTC v. Eddy Alexandre and Eminifix, Inc.](#), No. 22-cv-03822 (S.D.N.Y. filed May 5, 2022)
7. [CFTC v. Sam Ikkurty a/k/a Sreenivas I Rao, Ravishankar Avadhanam, and Jafia LLC](#), No. 22-cv-2465 (N.D. Ill. Filed May 10, 2022)

8. [CFTC v. Gemini Trust Co., LLC](#), No. 22-cv-04563 (S.D.N.Y. filed June 2, 2022)
9. [CFTC v. Mirror Trading International Proprietary Limited and Cornelius Johannes Steynberg](#), No. 22-cv-00635 (W.D. Tex. filed June 30, 2022)
10. [CFTC v. Emerson Pires, Flavio Goncalves, Joshua Nicholas and Empires Consulting Corp.](#), No. 22-cv-21997 (S.D. Fla. filed June 30, 2022)
11. [CFTC v. Rathnakishore Giri, NBD Eidetic Capital, LLC, and SR Private Equity, LLC](#), No. 22-cv-3091 (D. Ohio Aug. 12, 2022)
12. [CFTC v. bZeroX, Tom Bean, and Kyle Kistner](#), CFTC Docket No. 22-31 (Sept. 22, 2022)
13. [CFTC v. Ooki DAO](#), No. 22-cv-5416 (N.D. Cal. Sept. 22, 2022)
14. [CFTC v. Cryptostockoptionstrade Ltd.](#), CFTC Docket No. 22-26 (Sept. 22, 2022)
15. [CFTC v. Global Smart Option Broker Ltd.](#), CFTC Docket No. 22-27 (Sept. 22, 2022)
16. [CFTC v. Hypertradingoption Ltd.](#), CFTC Docket No. 22-28 (Sept. 22, 2022)
17. [CFTC v. Stockbrokertechiniques Ltd.](#), CFTC Docket No. 22-29 (Sept. 22, 2022)
18. [CFTC v. SprintTrade](#), CFTC Docket No. 22-26 (Sept. 25, 2022)
19. [CFTC v. Adam Todd, Digitex LLC, Digitex Limited, Digitex Software Limited, and Blockster Holdings Limited Corporation](#), No. 22-cv-23174 (S.D. Fla. Sept. 30, 2022)

#### **FY 2021 Digital Asset Cases**

1. [CFTC v. HDR Global Trading Limited, 100x Holdings Limited, ABS Global Trading Limited, Shine Effort Inc Limited, HDR Global Services\(Bermuda\) Limited, Arthur Hayes, Benjamin Delo, and Samuel Reed](#), No. 1:20-cv-08132 (S.D.N.Y. filed Oct. 1, 2020)
2. [CFTC v. Jeremy Spence](#), No. 1:21-cv-00699 (S.D.N.Y. filed Jan. 26, 2021)
3. [CFTC v. John David McAfee; Jimmy Gale Watson](#), No. 1:21-cv-01919 (S.D.N.Y. filed Mar. 5, 2021)
4. [CFTC v. Coinbase Inc.](#), CFTC Docket No. 21-03 (Mar. 19, 2021)
5. [CFTC v. Glenn Olson](#), CFTC Docket No. 21-05 (Apr. 6, 2021)
6. [CFTC v. Josef Gherman and J Squared LLC](#), CFTC Docket No. 21-06 (Apr. 20, 2021)
7. [CFTC v. Abner Alejandro Tinoco and Kikit & Mess Investments](#), No. 3:21-cv-00237-DCG (W.D. Tex. Sept. 28, 2021)
8. [CFTC v. Payward Ventures, Inc. d/b/a Kraken](#), CFTC Docket No. 21-20 (Sept. 29, 2021)
9. [CFTC v. Tradingforexpay](#), CFTC Docket No. 21-32 (Sept. 30, 2021)
10. [CFTC v. Cryptofxtrader](#), CFTC Docket No. 21-23 (Sept. 30, 2021)
11. [CFTC v. Bitfxprofit](#), CFTC Docket No. 21-22 (Sept. 30, 2021)
12. [CFTC v. Globalnationfx](#), CFTC Docket No. 21-25 (Sept. 30, 2021)
13. [CFTC v. BinanceFxTrade](#), CFTC Docket No. 21-21 (Sept. 30, 2021)
14. [CFTC v. MaxForexOption](#), CFTC Docket No. 21-26 (Sept. 30, 2021)
15. [CFTC v. ProCryptoMinners](#), CFTC Docket No. 21-28 (Sept. 30, 2021)
16. [CFTC v. ProFX-Capitals](#), CFTC Docket No. 21-29 (Sept. 30, 2021)
17. [CFTC v. Smarter Signals](#), CFTC Docket No. 21-30 (Sept. 30, 2021)
18. [CFTC v. Prime Expert Trade](#), CFTC Docket No. 21-27 (Sept. 30, 2021)

19. [CFTC v. Star Fx Pro](#), CFTC Docket No. 21-31 (Sept. 30, 2021)
20. [CFTC v. Excotradeonoptions](#), CFTC Docket No. 21-24 (Sept. 30, 2021)
21. [CFTC v. Climax Capital FX](#), CFTC Docket No. 21-33 (Sept. 30, 2021)
22. [CFTC v. Digitalexchange24.com](#), CFTC Docket No. 21-34 (Sept. 30, 2021)
23. [CFTC v. Uduakobong Udo Inyangudo](#), No. 1:21-cv-11615 (Sept. 30, 2021)

#### **FY 2020 Digital Asset Cases** *(exclusive of administrative matters)*

1. [CFTC v. XBT Corp. SARL d/b/a First Global Credit](#), CFTC Docket No. 20-04 (Oct. 31, 2019)
2. [CFTC v. Q3 Holdings, LLC, Q3 I, LP, and Ackerman](#), No. 1:20-CV-01183 (S.D.N.Y. Feb. 11, 2020)
3. [CFTC v. Joshua Christian McDonald and Perfection PR Firm LLC](#), No. 20-cv-00261 (E.D. Mo. filed February 14, 2020)
4. [CFTC v. Clark, and Venture Capital Investments Ltd.](#), No. 1:20-cv-00382 (D. Colo. Feb. 14, 2020)
5. [CFTC v. Alan Friedland, Fintech Investment Group, Inc. and Compcoin LLC](#), No. 6:20-cv-00652 (M.D. Fla. filed Apr. 16, 2020)
6. [CFTC v. Daniel Fingerhut, Digital Platinum, Inc., Digital Platinum, Ltd., Huf Mediya Ltd., Tal Valariola and Itay Barak](#), No. 1:20-cv-21887-DPG (S.D. Fla. filed May 5, 2020)
7. [In re Plutus Financial, Inc. d/b/a Abra, and Plutus Technologies Philippines Corp. d/b/a Abra International](#), CFTC Docket No. 20-23 (Jul. 13, 2020)
8. [CFTC v. Dennis Jali, Arley Ray Johnson, and John Frimpong, 1st Million LLC, Smart Partners LLC, and Access to Assets LLC](#), No. 8:20-cv-02492-GJH (D. Md. filed Aug. 28, 2020)
9. [CFTC v. Mayco Alexis Maldonado Garcia](#), Cesar Castaneda, Joel Castaneda Garcia, and Rodrigo Jose Castro Molina, jointly d/b/a Global Trading Club, No. 4:20-cv-03185 (S.D. Tex. filed Sep. 11, 2020)
10. [CFTC v. Laino Group Limited d/b/a PaxForex](#), No. 20-cv-03317 (S.D. Tex. Filed Sept. 28, 2020)

#### **FY 2019 Digital Asset Cases**

1. [In re Joseph Kim](#), CFTC Docket No. 19-02 (CFTC filed October 29, 2018)
2. [CFTC v. Control-Finance Limited](#), and Reynolds, No. 1:19-cv-05631 (S.D.N.Y. filed Jun. 17, 2019)
3. [CFTC v. Jon Barry Thompson](#), No. 1:19-cv-09052 (S.D.N.Y. filed Sep. 30, 2019)
4. [CFTC v. Circle Society Corp. and David Saffron](#), No 19-cv-01697 (D. Nev. Filed Sept. 30, 2019)

#### **FY 2018 Digital Assets Cases**

1. [CFTC v. Patrick K. McDonnell and CabbageTech, Corp. d/b/a Coin Drop Markets](#), No. 1:18-cv-00361 (E.D.N.Y. filed Jan. 18, 2018)
2. [CFTC v. Dillon Michael Dean and The Entrepreneurs Headquarters Limited](#), No. 18-cv-345 (E.D.N.Y. filed Jan. 18, 2018)
3. [CFTC v. Blake Harrison Kantor, Nathan Mullins, Blue Bit Banc, Blue Bit Analytics](#) (E.D.N.Y. filed April 16, 2018)

4. [CFTC v. Randall Crater, Mark Gillespie and My Big Coin Pay, Inc.](#), No. 1:18-cv-10077-RWZ (D. Ma. filed Jan. 16, 2018, amended Apr. 20, 2018)
5. [CFTC v. 1pool Ltd](#), No. 18-cv-2243 (D.D.C. filed Sept. 27, 2018)
6. [CFTC v. Diamonds Trading Investment House and First Options Trading](#), No. 18-cv-00807-O (N.D. Tex. Filed Sept. 28, 2018)

#### **FY 2017 Digital Asset Cases**

1. [CFTC vs. Gelfman Blueprint, Inc.](#), et al., Case 1:17-cv-07181 (S.D.N.Y. filed Sept. 21, 2017)

#### **FY 2016 Digital Asset Cases**

1. [In re BFXNA Inc. d/b/a BITFINIX](#), CFTC Docket No. 16-19 (Filed 6/2/2016)

#### **FY 2015 Digital Asset Cases**

1. [In re Coinflip, Inc. d/b/a Derivabit and Francisco Riordan](#), CFTC Docket No. 15-29 (CFTC filed Sep. 17, 2015)
2. [In re TeraExchange LLC](#), CFTC Docket No. 15-33 (CFTC filed Sep. 24, 2015)