

SELECTED FCM FINANCIAL DATA AS OF  
 April 30, 2024  
 FROM REPORTS FILED BY  
 May 31, 2024

Futures Commission Merchant / Retail Foreign Exchange Dealer	Registered As	DSRO	As of Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital	Customers' Assets in Seg	Customers' Seg Required 4d(a)(2)	Excess/Deficient Funds in Seg	Target Residual Interest in Seg	Funds in Separate Section 30.7 Accounts	Customer Amount Pt. 30 Required	Excess/Deficient Funds in Separate Section 30.7 Accounts	Target Residual Interest in Section 30.7	Funds in Separate Cleared Swap Segregation	Customer Amount Cleared Swap Seg Required	Excess/Deficient Funds in Cleared Swap Accounts	Target Residual Interest in Cleared Swap Seg	Total Amount of Retail Forex Obligation
	(a)	(b)		(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)	(q)	
1 ABN AMRO CLEARING USA LLC	FCM BD	CBOT	04/30/2024	737,356,120	247,752,588	489,603,532	4,412,902,769	3,810,071,208	602,831,561	350,000,000	223,009,404	186,745,028	36,264,376	15,000,000	0	0	0	0	0
2 ADM INVESTOR SERVICES INC	FCM	CBOT	04/30/2024	458,358,429	300,499,178	157,859,251	7,406,975,731	7,142,548,954	264,426,777	120,000,000	420,242,028	373,819,324	46,427,704	20,000,000	10,589,379	0	10,589,379	8,000,000	0
3 ADVANTAGE FUTURES LLC	FCM	CME	04/30/2024	34,475,383	15,473,202	19,002,181	616,924,747	599,654,567	17,270,180	8,500,000	77,310,030	72,974,073	4,335,957	1,000,000	0	0	0	0	0
4 AMP GLOBAL CLEARING LLC	FCM	NFA	04/30/2024	13,888,621	1,000,000	12,888,621	83,435,430	69,183,451	14,251,979	900,000	1,815,820	1,470,352	345,468	150,000	0	0	0	0	0
5 APEX CLEARING CORPORATION	FCM BD	NFA	04/30/2024	432,392,084	32,612,483	399,779,601	237,114,989	21,519,778	26,895,211	10,000,000	0	0	0	0	0	0	0	0	0
6 BARCLAYS CAPITAL INC	FCM BD	NYME	04/30/2024	6,379,512,441	2,659,987,646	3,719,524,795	18,834,260,821	18,488,380,775	345,880,046	187,000,000	5,137,234,667	4,916,543,597	220,691,070	81,000,000	15,650,563,553	15,168,074,893	482,488,660	45,000,000	0
7 BITNOMIAL CLEARING LLC	FCM	NFA	04/30/2024	1,549,992	1,000,000	549,992	657,177	145,944	511,233	100,000	0	0	0	0	0	0	0	0	0
8 BNP PARIBAS SECURITIES CORP	FCM BD	CBOT	04/30/2024	2,423,346,322	870,144,470	1,553,201,852	7,331,839,046	6,618,966,277	712,872,769	570,000,000	948,453,684	710,235,864	238,217,820	98,000,000	2,747,206,037	2,560,232,338	186,973,699	140,000,000	0
9 BOFA SECURITIES INC	FCM BD	CBOT	04/30/2024	19,668,547,428	4,137,468,830	15,531,078,598	28,281,665,953	27,190,420,058	1,091,245,895	200,000,000	5,111,751,152	4,866,072,245	245,678,907	150,000,000	16,924,274,033	16,053,303,660	870,970,373	150,000,000	0
10 CANTOR FITZGERALD & CO	FCM BD	CBOT	04/30/2024	412,241,646	1,500,000	410,741,646	5,272,289	0	5,272,289	3,000,000	0	0	0	0	0	0	0	0	0
11 CHARLES SCHWAB FUTURES AND FOREX LLC	FCM	NFA	04/30/2024	347,096,614	50,890,039	296,206,575	735,791,461	587,248,913	148,542,548	100,000,000	0	0	0	0	0	0	0	0	61,730,989
12 CHS HEDGING LLC	FCM	CBOT	04/30/2024	77,332,079	8,704,821	68,627,258	132,316,652	69,857,850	62,458,802	24,300,000	1,588,046	0	1,588,046	200,000	530,333	0	530,333	120,000	0
13 CITIGROUP GLOBAL MARKETS INC	FCM BD SD	CBOT/NFA	04/30/2024	17,941,582,174	4,208,252,313	13,733,329,861	16,742,320,584	16,224,148,836	518,171,748	344,400,000	4,752,748,823	4,412,723,300	340,025,523	163,100,000	29,866,895,401	29,220,082,972	646,812,429	442,400,000	0
14 CLEAR STREET LLC	FCM BD	CME	04/30/2024	538,676,611	48,404,583	490,272,028	0	0	0	0	0	0	0	0	0	0	0	0	0
15 COINBASE FINANCIAL MARKETS USA INC	FCM	NFA	04/30/2024	18,732,279	1,000,000	17,732,279	23,059,503	15,030,640	8,028,863	5,000,000	0	0	0	0	0	0	0	0	0
16 CREDIT SUISSE SECURITIES (USA) LLC	FCM BD	CBOT	04/30/2024	3,524,431,389	43,776,635	3,480,654,754	3,039,769	0	3,039,769	1	2,831,501	0	2,831,501	1	1,378,897	0	1,378,897	1	0
17 DAIWA CAPITAL MARKETS AMERICA INC	FCM BD	CME	04/30/2024	1,331,083,852	1,500,000	1,329,583,852	2,117,024	0	2,117,024	-1	440,959	0	440,959	1	0	0	0	0	0
18 DEUTSCHE BANK SECURITIES INC	FCM BD	CBOT	04/30/2024	4,525,313,360	225,608,350	4,299,705,010	2,576,607,767	2,423,699,516	152,908,251	100,000,000	710,827,344	614,181,873	96,645,471	50,000,000	0	0	0	0	0
19 DIRECT ACCESS USA LLC	FCM	CME	04/30/2024	18,383,637	3,850,177	14,533,460	248,970,036	237,668,028	11,302,008	2,500,000	2,653,937	876,743	1,777,194	1,000,000	0	0	0	0	0
20 DORMAN TRADING LLC	FCM	CME	04/30/2024	18,092,334	4,116,872	13,975,462	305,037,478	299,282,076	5,655,402	2,000,000	0	1,744,219	2,868,986	200,000	0	0	0	0	0
21 ETRADE FUTURES LLC	FCM	NFA	04/30/2024	60,926,233	5,652,497	55,273,736	142,264,722	83,403,183	58,861,589	11,000,000	0	0	0	0	0	0	0	0	0
22 FUTU FUTURES INC	FCM	NFA	04/30/2024	1,973,973	1,000,000	973,973	0	0	0	0	0	0	0	0	0	0	0	0	0
23 GAIN CAPITAL GROUP LLC	FCM RFED	NFA	04/30/2024	52,797,349	29,718,371	23,078,978	0	0	0	0	0	0	0	0	0	0	0	0	204,367,417
24 GH FINANCIALS LLC	FCM	CME	04/30/2024	30,366,253	12,978,462	17,387,791	180,925,132	161,782,087	19,143,045	6,100,000	11,575,899	9,301,145	2,274,754	350,000	0	0	0	0	0
25 GOLDMAN SACHS & CO LLC	FCM BD SD	CBOT/NFA	04/30/2024	20,012,123,109	5,132,573,877	14,879,549,232	34,749,524,926	33,685,520,713	1,064,134,213	775,000,000	10,960,427,610	10,421,287,203	539,140,407	475,000,000	20,430,288,621	19,625,345,854	804,942,767	350,000,000	0
26 HIDDEN ROAD PARTNERS CIV US LLC	FCM	CME	04/30/2024	31,389,475	1,000,000	30,389,475	8,810,152	566,182	8,243,970	3,860,807	0	0	0	0	0	0	0	0	0
27 HSBC SECURITIES USA INC	FCM BD	CME	04/30/2024	1,177,220,983	452,273,649	724,947,334	3,975,449,091	3,829,454,363	145,994,728	117,000,000	197,868,103	164,723,843	33,144,260	15,000,000	1,837,926,179	1,735,137,587	102,788,592	88,000,000	0
28 IG US LLC	RFED	NFA	04/30/2024	58,275,419	22,573,316	35,702,103	0	0	0	0	0	0	0	0	0	0	0	0	61,466,312
29 INTERACTIVE BROKERS LLC	FCM BD	CME	04/30/2024	7,913,713,728	962,474,344	6,951,239,384	7,987,383,725	7,781,497,706	205,886,069	155,000,000	828,509,017	706,445,780	122,063,237	80,000,000	0	0	0	0	35,845,425
30 IRONBEAM INC	FCM	CME	04/30/2024	14,153,948	3,802,858	10,351,090	174,038,203	160,673,798	13,364,405	1,600,000	993,529	759,317	234,212	60,000	0	0	0	0	0
31 JEFFERIES LLC	FCM BD	NFA	04/30/2024	1,128,004,627	114,151,683	1,013,852,944	0	0	0	0	0	0	0	0	0	0	0	0	0
32 JP MORGAN SECURITIES LLC	FCM BD SD	CEI/NFA	04/30/2024	24,836,514,608	5,581,777,004	19,254,737,604	40,732,557,120	39,640,899,593	1,091,657,527	753,177,092	7,321,407,319	6,974,005,425	347,401,894	188,298,147	23,189,821,414	22,185,031,951	1,004,789,463	687,735,990	0
33 LIME TRADING CORP	FCM BD	NFA	04/30/2024	11,266,789	1,165,967	10,100,822	46,094	0	46,094	20,000	0	0	0	0	0	0	0	0	0
34 MACQUARIE FUTURES USA LLC	FCM	CBOT	04/30/2024	541,645,780	364,668,272	176,977,508	3,859,283,998	3,707,255,937	152,028,061	100,000,000	376,205,709	333,087,425	43,118,284	7,000,000	5,179,639	0	5,179,639	1,000,000	0
35 MAREX CAPITAL MARKETS INC	FCM BD SD	CME/NFA	04/30/2024	587,267,340	309,253,077	278,014,263	7,361,156,670	7,205,787,432	155,369,238	90,000,000	211,484,031	179,578,395	31,905,636	12,000,000	0	0	0	0	0
36 MCVENAN TRADING & INVESTMENTS LLC	FCM	NFA	04/30/2024	13,123,761	1,277,334	11,846,427	420,396,342	396,824,245	23,572,097	18,000,000	2,779,116	0	2,779,116	1,000,000	0	0	0	0	0
37 MFI FUNDING LLC	FCM	NFA	04/30/2024	111,335,427	1,000,000	110,335,427	0	0	0	0	0	0	0	0	0	0	0	0	0
38 MID CO COMMODITIES INC	FCM	NFA	04/30/2024	16,749,440	2,618,611	14,130,829	34,482,127	17,803,012	16,679,115	5,138,000	0	0	0	0	0	0	0	0	0
39 MINT BROKERS	FCM BD	NFA	04/30/2024	2,259,427	1,000,000	1,259,427	0	0	0	0	0	0	0	0	0	0	0	0	0
40 MIZUHO SECURITIES USA LLC	FCM BD	CME	04/30/2024	1,599,567,034	516,197,480	1,083,369,554	10,090,146,030	9,689,117,577	401,028,453	150,000,000	1,717,049,480	1,542,406,717	174,642,763	70,000,000	21,806,807	0	21,806,807	10,000,000	0
41 MORGAN STANLEY & CO LLC	FCM BD SD	CME/NFA	04/30/2024	18,946,316,501	4,539,293,451	14,407,023,050	26,929,155,753	26,295,041,383	634,114,370	235,000,000	9,777,742,145	9,543,603,064	234,139,081	140,000,000	28,420,639,768	28,107,985,929	312,653,839	92,000,000	0
42 NANHUA USA LLC	FCM	CME	04/30/2024	39,051,024	22,322,254	16,728,770	461,900,021	431,085,437	30,814,584	3,500,000	357,395	1,092	356,303	100,000	0	0	0	0	0
43 NATWEST MARKETS SECURITIES INC	FCM BD	CBOT	04/30/2024	603,660,481	1,826,670	601,833,811	7,505,694	0	7,505,694	5,000,000	0	0	0	0	0	0	0	0	0
44 NINJATRADE CLEARING LLC	FCM	NFA	04/30/2024	17,878,753	1,000,000	16,878,753	261,413,615	236,846,614	24,567,001	4,000,000	2,896,200	1,498,347	1,397,853	400,000	0	0	0	0	0
45 NOMURA SECURITIES INTERNATIONAL INC	FCM BD	CBOT	04/30/2024	2,083,962,302	193,546,474	1,890,415,828	101,350,313	57,218,614	44,131,699	15,000,000	1,250,000	0	1,250,000	1,000,000	0	0	0	0	0
46 OANDA CORPORATION	FCMRFD	NFA	04/30/2024	43,365,105	28,851,667	14,513,438	0	0	0	0	0	0	0	0	0	0	0	0	182,355,539
47 PHILLIP CAPITAL INC	FCM BD	CME	04/30/2024	106,243,366	41,352,935	64,890,431	838,247,180	779,997,913	88,249,267	15,500,000	27,605,850	23,376,989	4,238,861	1,000,000	0	0	0	0	0
48 PICTET OVERSEAS INC	FCM BD	NFA	04/30/2024	38,522,519	7,034,644	31,487,875	104,668,019	98,560,365	6,107,654	1,500,000	26,165,594	22,326,339	3,839,255	900,000	0	0	0	0	0
49 PLUS500US FINANCIAL SERVICES, LLC	FCM	CBOT	04/30/2024	115,987,314	9,113,411	106,873,903	373,607,989	280,177,993	1,422,840	4,900,000	744,252	728,588	100,000	0	0	0	0	0	0
50 RBC CAPITAL MARKETS LLC	FCM BD	CME	04/30/2024	2,045,728,690	496,416,148	1,549,312,542	4,118,091,286	3,691,471,737	426,619,549	260,000,000	411,786,250	327,598,507	84,187,743	20,000,000	2,182,787,934	1,774,104,616	408,683,318	120,0	

