Futures Commission Merchant / Retail Foreign Exchange Dealer	Registered As	DSRO	As of Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital	Customers' Assets in Seg	Customers' Seg Required 4d(a)(2)	Excess/Deficient Funds in Seg	Target Residual Interest in Seg	Funds in Separate Section 30.7 Accounts	Customer Amount Pt. 30 Required	Excess/Deficient Funds in Separate Section 30.7 Accounts	Target Residual Interest in Section 30.7	Funds in Separate Cleared Swap Segregation	Customer Amount Cleared Swap Seg Required	Excess/Deficient Funds in Cleared Swap Seg Accounts	Target Residual Interest in Cleared Swap Seg	Total Amount of Retail Forex Obligation
	(a)	(b)			(c)	(d)	(e)	(f)	(g)	(h)	(i)	(i)	(k)	(1)	(m)	(n)	(0)	(p)	(p)
1 ABN AMRO CLEARING USA LLC	FCM BD	CBOT	04/30/2024	737,356,120	247,752,588	489,603,532	4,412,902,769	3,810,071,208	602,831,561	350,000,000	223,009,404	186,745,028	36,264,376	15,000,000	nl r) 0	0		
2 ADM INVESTOR SERVICES INC	FCM	CBOT	04/30/2024	458,358,429	300,499,178	157,859,251	7,406,975,731	7,142,548,954	264,426,777	120,000,000	420,242,028	373,819,324	46,422,704	20,000,000	10,589,379	0	10,589,379	8,000,000	
3 ADVANTAGE FUTURES LLC	FCM	CME	04/30/2024	34,475,383	15,473,202	19,002,181	616,924,747	599,654,567	17,270,180	8,500,000	77,310,030	72,974,073	4,335,957	1,000,000	0 (0	0	0	(
4 AMP GLOBAL CLEARING LLC	FCM	NFA	04/30/2024	13,888,621	1,000,000	12,888,621	83,435,430	69,183,451	14,251,979	900,000	1,815,820	1,470,352	345,468	150,000	0 (0	0	0	(
5 APEX CLEARING CORPORATION	FCM BD FCM BD	NFA NYME	04/30/2024	432,392,084	32,612,483	399,779,601	237,114,989	210,219,778	26,895,211	10,000,000	0	4 016 543 507	220,691,070	01 000 000	0 15.050.503.553	0 3 15,168,074,893	402 400 660	45,000,000	
6 BARCLAYS CAPITAL INC 7 BITNOMIAL CLEARING LLC	FCM BD	NFA	04/30/2024 04/30/2024	6,379,512,441 1,549,992	2,659,987,646 1,000,000	3,719,524,795 549,992	18,834,260,821 657,177	18,488,380,775 145,944	345,880,046 511,233	187,000,000 100,000	5,137,234,667	4,916,543,597	220,691,070	81,000,000	15,650,563,553	15,168,074,893	482,488,660	45,000,000	
8 BNP PARIBAS SECURITIES CORP	FCM BD	CBOT	04/30/2021	2,423,346,322	870,144,470	1.553.201.852	7,331,839,046	6,618,966,277	712,872,769	570,000,000	948,453,684	710.235.864	238,217,820	98,000,000	2,747,206,037	2,560,232,338	186,973,699	140,000,000	
9 BOFA SECURITIES INC	FCM BD	CBOT	04/30/2024	19,668,547,428	4,137,468,830	15,531,078,598	28,281,665,953	27,190,420,058	1,091,245,895	200,000,000	5,111,751,152	4,866,072,245	245,678,907	150,000,000	16,924,274,033	16,053,303,660	870,970,373	150,000,000	(
0 CANTOR FITZGERALD & CO	FCM BD	CBOT	04/30/2024	412,241,646	1,500,000	410,741,646	5,272,289	0	5,272,289	3,000,000	0	0	0	0	0 (0	0	0	(
1 CHARLES SCHWAB FUTURES AND FOREX LLC 2 CHS HEDGING LLC	FCM FCM	NFA CBOT	04/30/2024	347,096,614 77,332,079	50,890,039 8,704,821	296,206,575 68,627,258	735,791,461 132,316,652	587,248,913 69,857,850	148,542,548 62,458,802	100,000,000 24,300,000	1,588,046	0	1,588,046	200,000	0 530,333	0	530,333	120,000	61,730,989
3 CITIGROUP GLOBAL MARKETS INC	FCM BD SD	CBOT/NFA		17,941,582,174	4,208,252,313	13,733,329,861	16,742,320,584		518,171,748		4,752,748,823	4,412,723,300	340,025,523	163,100,000			646,812,429	442,400,000	(
4 CLEAR STREET LLC	FCM BD	CME	04/30/2024	538,676,611	48,404,583	490,272,028	0	0	0	0	0	0	0	0	0 (0	0	0	(
5 COINBASE FINANCIAL MARKETS INC	FCM	NFA	04/30/2024	18,732,279	1,000,000	17,732,279	23,059,503	15,030,640	8,028,863	5,000,000	0	0	0	0	0 (0	0	0	(
6 CREDIT SUISSE SECURITIES (USA) LLC	FCM BD	CBOT	04/30/2024	3,524,431,389	43,776,635	3,480,654,754	3,039,769	0	3,039,769	1	2,831,501	0	2,831,501	1	1 1,378,897	0	1,378,897	1	
7 DAIWA CAPITAL MARKETS AMERICA INC 8 DEUTSCHE BANK SECURITIES INC	FCM BD FCM BD	CME CBOT	04/30/2024 04/30/2024	1,331,083,852 4,525,313,360	1,500,000 225,608,350	1,329,583,852 4,299,705,010	2,117,024 2,576,607,767	2,423,699,516	2,117,024 152,908,251	100,000,000	440,959 710,827,344	614,181,873	440,959 96,645,471	50,000,000) (0		0	
9 DIRECT ACCESS USA LLC	FCM	CME	04/30/2024	18,383,637	5,850,177	12,533,460	248,970,036	237,668,028	11,302,008	2,500,000	2,653,937	876,743	1,777,194	1,000,000		0	Ö	Ö	
0 DORMAN TRADING LLC	FCM	CME	04/30/2024	18,092,334	4,116,872	13,975,462	305,037,478	299,382,076	5,655,402	2,000,000	2,868,986	1,744,219	1,124,767	200,000	0 0	0	0	0	
11 ETRADE FUTURES LLC	FCM	NFA	04/30/2024	60,926,233	5,652,497	55,273,736	142,264,772	83,403,183	58,861,589	11,000,000	0	0	0	<u> </u>	0 0	0	0	0	(
2 FUTU FUTURES INC 3 GAIN CAPITAL GROUP LLC	FCM FCM RFFD	NFA NFA	04/30/2024	1,973,973 52,797,349	1,000,000 29,718,371	973,973 23.078.978	0	0	0	2	0	0	0	2) <u>(</u>	0	0	0	204,367,417
4 GH FINANCIALS LLC	FCM KFED FCM	CME	04/30/2024	30,366,253	12,978,462	17,387,791	180,925,132	161,782,087	19,143,045	6,100,000	11,575,899	9,301,145	2,274,754	350,000) () 0	0	0	,417,707,417)
5 GOLDMAN SACHS & CO LLC	FCM BD SD	CBOT/NFA	04/30/2024	20,012,123,109	5,132,573,877	14,879,549,232	34,749,524,926	33,685,390,713	1,064,134,213	775,000,000	10,960,427,610	10,421,287,203	539,140,407	475,000,000	20,430,288,621	19,625,345,854	804,942,767	350,000,000	Ò
6 HIDDEN ROAD PARTNERS CIV US LLC	FCM	CME	04/30/2024	31,389,475	1,000,000	30,389,475	8,810,152	566,182	8,243,970	3,860,807	0	0	0	0	0 (0	0	0	(
17 HSBC SECURITIES USA INC 18 IG US LLC	FCM BD RFED	CME NFA	04/30/2024 04/30/2024	1,177,220,983 58,275,419	452,273,649 22,573,316	724,947,334 35,702,103	3,975,449,091	3,829,454,363	145,994,728	117,000,000	197,868,103	164,723,843	33,144,260	15,000,000	1,837,926,179	1,735,137,587	102,788,592	88,000,000	61,466,312
9 INTERACTIVE BROKERS LLC	FCM BD	CME	04/30/2024	7,913,713,778	962,474,344	6,951,239,434	7,987,383,775	7,781,497,706	205,886,069	155,000,000	828,509,017	706,445,780	122,063,237	80,000,000) (0	0	0	35,845,425
0 IRONBEAM INC	FCM	CME	04/30/2024	14,153,948	3,802,858	10,351,090	174,038,203	160,673,798	13,364,405	1,600,000	993,529	759,317	234,212	60,000		0	Ö	ő	33,013,123
1 JEFFERIES LLC	FCM BD	NFA	04/30/2024	1,128,004,627	114,151,683	1,013,852,944	0	0	0	0	0	0	0	0	0 (0	0	0	
2 JP MORGAN SECURITIES LLC	FCM BD SD	CEI/NFA	04/30/2024	24,836,514,608	5,581,777,004	19,254,737,604	40,732,557,120	39,640,899,593	1,091,657,527	753,177,092	7,321,407,319	6,974,005,425	347,401,894	188,298,147		22,185,031,951	1,004,789,463	687,735,990	(
3 LIME TRADING CORP 4 MACQUARIE FUTURES USA LLC	FCM BD FCM	NFA CBOT	04/30/2024 04/30/2024	11,266,789 541,645,780	1,165,967 364,668,272	10,100,822 176,977,508	46,094 3,859,283,998	3,707,255,937	46,094 152,028,061	20,000 100,000,000	40,010 376,205,709	333,087,425	40,010 43,118,284	20,000 7,000,000	5,179,639	0	5,179,639	1,000,000	(
IS MAREX CAPITAL MARKETS INC	FCM BD SD	CME/NFA	04/30/2024	587,267,340	309,253,077	278.014.263	7,361,156,670	7,205,787,432	155,369,238	90,000,000	211,484,031	179,578,395	31,905,636	12,000,000		0	3,173,033	1,000,000	(
6 MCVEAN TRADING & INVESTMENTS LLC	FCM	NFA	04/30/2024	13,123,761	1,277,334	11,846,427	420,396,342	396,824,245	23,572,097	18,000,000	2,779,116	0	2,779,116	1,000,000	0 (0	0	0	(
7 MFI FUNDING LLC	FCM	NFA	04/30/2024	111,335,427	1,000,000 2,618,611	110,335,427	0	0	0		0	0	0	C	0 (0	0	0	(
8 MID CO COMMODITIES INC 9 MINT BROKERS	FCM FCM BD	NFA NFA	04/30/2024 04/30/2024	16,749,440 2,259,427	2,618,611	14,130,829 1,259,427	34,482,127	17,803,012	16,679,115	5,138,000	0	0	0	0) (0	0	0	
10 MIZUHO SECURITIES USA LLC	FCM BD	CME	04/30/2024	1,599,567,034	516,197,480	1,083,369,554	10.090.146.030	9.689.117.577	401.028.453	150,000,000	1,717,049,480	1.542.406.717	174,642,763	70,000,000	21,806,807	7 0	21,806,807	10,000,000	(
1 MORGAN STANLEY & CO LLC	FCM BD SD	CME/NFA	04/30/2024	18,946,316,501	4,539,293,451	14,407,023,050	26,929,155,753	26,295,041,383	634,114,370	235,000,000	9,777,742,145	9,543,603,064	234,139,081	140,000,000	28,420,639,768	28,107,985,929	312,653,839	92,000,000	(
2 NANHUA USA LLC	FCM	CME		39,051,024	22,322,254	16,728,770	461,900,021	431,085,437	30,814,584	3,500,000	357,395	1,092	356,303	100,000	0 (0	0	0	(
3 NATWEST MARKETS SECURITIES INC 4 NINIATRADER CLEARING LLC	FCM BD FCM	CBOT NFA	04/30/2024	603,660,481 17.878.753	1,826,670	601,833,811 16.878,753	7,505,694 261,413,615	236.846.614	7,505,694 24,567,001	5,000,000 4,000,000	2,896,200	0 1,498,347	1,397,853	400,000	0 (0	0	0	(
5 NOMURA SECURITIES INTERNATIONAL INC	FCM BD	CBOT	04/30/2024	2,083,962,302	193,546,474	1,890,415,828	101,350,313	57,218,614	24,567,001 44,131,699	15,000,000	1,250,000	1,498,347	1,397,853	1,000,000) (0		0	
6 OANDA CORPORATION	FCMRFD	NFA	04/30/2024	43,365,105	28,851,667	14,513,438	0	0	0	15,000,000	0	ő	0	1,000,000	Ď Č	Ö	Ö	ŏ	182,355,539
7 PHILLIP CAPITAL INC	FCM BD	CME	04/30/2024	106,243,366	41,352,935	64,890,431	828,247,180	779,997,913	48,249,267	15,500,000	27,605,850	23,376,989	4,228,861	1,000,000	0 (0	0	0	(
8 PICTET OVERSEAS INC	FCM BD	NFA	04/30/2024 04/30/2024	38,522,519 115,987,314	7,034,644	31,487,875 106.873.903	104,668,019 373,607,989	98,560,365 280,177,993	6,107,654 93,429,996	1,500,000	26,165,594 1 472 840	22,326,339 744.252	3,839,255 728 588	900,000	0 0	0	0	0	
19 PLUS500US FINANCIAL SERVICES, LLC 50 RBC CAPITAL MARKETS LLC	FCM BD	CBOT		115,987,314 2,045,728,690	9,113,411 496,416,148	1,549,312,542	3/3,607,989 4,118,091,286	280,177,993 3,691,471,737	93,429,996 426,619,549	4,900,000 260,000,000	1,472,840 411,786,250	744,252 327,598,507	728,588 84,187,743	20,000,000		1,774,104,616	408,683,318	120,000,000	(
1 RJ OBRIEN ASSOCIATES LLC	FCM SD	CME/NFA		321,058,297	225,442,656	95,615,641	5,692,567,886	5,473,703,269	218,864,617	50,000,000	274,152,813	243,176,117	30,976,696	20,000,000	0 2,102,707,93	0	100,003,310	0	(
2 ROBINHOOD DERIVATIVES LLC	FCM	NFA	04/30/2024	50,004,767	1,000,000	49,004,767	50,102	0	50,102	1	51	. 0	51	1	1 (0	0	0	
3 SANTANDER US CAPITAL MARKETS LLC 4 SCOTIA CAPITAL USA INC	FCM BD FCM BD	NYME		509,923,013	148,916,489	361,006,524	2,043,742,882	1,965,700,806	78,042,076	70,000,000	0	0	0	Ž	0 0	0	0	0	
55 SG AMERICAS SECURITIES LLC	FCM BD	CME CME	04/30/2024 04/30/2024	1,235,743,769 5,455,499,218	114,004,139 1,940,573,644	1,121,739,630 3,514,925,574	19,156,743,317	18,658,061,791	498,681,526	200,000,000	8,073,688,142	7,676,838,656	396,849,486	200,000,000	2,048,696,701	1,832,603,552	216,093,149	80,000,000	- (
6 STONEX FINANCIAL INC	FCM BD	CME	04/30/2024	379,912,874	246,946,115	132,966,759	6,141,463,740	6,068,108,484	73,355,256	40,000,000	278,196,777	265,577,590	12,619,187	6,000,000) (0	210,035,113	0	
7 STRAITS FINANCIAL LLC	FCM	CME	04/30/2024	37,642,445	13,178,123	24,464,322	585,934,833	570,555,626	15,379,207	2,000,000		40,799,602	11,319,123	300,000	0	0	0	0	
8 TRADESTATION SECURITIES INC 9 TRADING.COM MARKETS INC	FCM BD RFFD	CME NFA	04/30/2024 04/30/2024	209,897,141 24,380,426	13,668,233	196,228,908 4,380,426	980,413,381	912,155,536	68,257,845	9,000,000	16,374,159	12,358,078	4,016,081	800,000) (0	0	0	1,993,792
OUBS FINANCIAL SERVICES INC	FCM BD	NFA NFA	04/30/2024	24,380,426 876,813,705	133,728,509	743,085,196	205,122,715	128,340,629	76,782,086	35,000,000	37,863,601	4,466,353	33,397,248	15,000,000	0 (0	0	0	1,993,792
1 UBS SECURITIES LLC	FCM BD	CBOT	04/30/2024	4,181,811,869	918,990,596	3,262,821,273	6,645,861,822	6,343,455,152	302,406,670	150,000,000	1,849,693,995	1,723,949,410	125,744,585	75,000,000		1,077,320,322	228,726,030	125,000,000	
2 WEBULL FINANCIAL LLC	FCM BD	NFA	04/30/2024	140,478,842	3,583,401	136,895,441	8,532,619	5,816,049	2,716,570	150 000	0	0	0	C	0	0	0	0	(
3 WEDBUSH SECURITIES INC 4 WELLS FARGO SECURITIES LLC	FCM BD SD FCM BD		04/30/2024 04/30/2024	260,335,132 10.007,903,627	86,331,809 1,599,607,204	174,003,323 8,408,296,423	3,523,670,846 5,994,146,934	3,489,225,631 5,359,573,526	34,445,215 634,573,408	25,000,000 180,000,000	23,328,951 357,294,194	19,003,601 255,935,051	4,325,350 101,359,143	1,000,000 30,000,000		15.289.193.503	764,524,289	400,000,000	(
	FCM BD	CME	04/30/2024	10,007,903,627	1,599,607,204	8,408,296,423													F47 7F0 474
Totals							281,860,964,605	2/1,192,1/9,254	10,668,785,351	5,519,145,902	60,234,103,886	50,650,234,916	3,583,868,970	1,939,978,150	100,698,348,840	154,628,417,177	0,069,931,663	2,/39,255,991	54/,/59,474
March Web Page Update	64				 										+				
Additions																			
None															1				
Deletions															+				
None															1				
Name Changes CUNNINGHAM COMMODITIES LLC to PLUS500US FINAN	CIAL SERVICES, LLC																		
April Web Page Update	64																		

SELECTED FCM FINANCIAL DATA AS OF April 30, 2024 FROM REPORTS FILED BY May 31, 2024

(a): FCM: Futures Commission Merchant that is registered v													
BD: The FCM is also registered with the Securities and													
RFED: Retail Foreign Exchange Dealer that is registere													
FCMRFD: The FCM is also registered with the Commod													
SD: The FCM or RFED is also registered with the Comr	modity Futures Tradii	ng Commission as a provisionally registered swap	dealer.										
(b): DSRO: Designated Self-Regulatory Organization. FCM/F	RFED firms that are of	dually registered as SDs have two DSROs listed if	they have a different DS	RO for the FCM/RFED	and the SD. The DS	SRO for the FCM/RFED is listed first and th	e DSRO for the SD is lis	ted second.					
(c): A firm's net capital requirement is the greater of:													
 FCM minimum dollar amount (\$1,000,000); or 													
 risk based capital requirement, the sum of 8% of total cus 			intenance margin; or										
 the amount of capital required by a registered futures asso 													
 for securities brokers and dealers, the amount of net capit 	tal required by Rule 1	15c3-1(a) of the Securities and Exchange Commis	sion; or										
minimum dollar amount for FCM's offering or engaged in r minimum dollar amount for FCM's offering or engaged in r	retail forex transactio	ons and RFEDs (\$20,000,000); or	L FOW PEER/ L L			1							
minimum dollar amount for FCM's offering or engaged in r	retaii rorex transactio	ons and KFEDS (\$20,000,000) plus five percent of	tne FCM's or RFED's tota	aı retaii forex obligati	on in excess of \$10,00	00,000.							
(d): Excess net capital is adjusted net capital, less the firm's	s net capital requirem	nent.											
(e): This represents the total amount of money, securities, a	and property held in	segregated accounts for futures and options custo	mers in compliance with	n Section 4d of the Co	ommodity Exchange A	Act.							
(f): This represents the total amount of funds that an FCM is	is required to segrega	ate on behalf of customers who are trading on a d	esignated contract mark	et or derivatives tran	saction execution faci	ility. This is the sum of all accounts that o	ontain a net liquidating	equity.					
(g): Excess/Deficient funds in segregation is customer asset	ts in segregation, less	s the customer segregation requirement.											
(h): This represents the targeted excess of proprietary funds	ls deposited into cust	omer segregated accounts above the customer se	gregation requirement.	This target amount i	s set by the firm.								
			1										
(i): This represents the total amount of money, securities, a	and property neid in s	secured accounts for futures and options custome	's wno trade on commo	dity exchanges locate	d outside the United S	States in compliance with Part 30 of the C	ommodity Exchange Act						
(2) 71: 1.11 1.66 1. 5011: 1.1													
(j): This represents the amount of funds an FCM is required	to set aside for cust	omers who trade on commodity exchanges locate	a outside or the United S	states.		1							
(IV) Evenes/Deficient funds in consents Costing 20.7	to in funda in co	o Costion 20.7 accounts loss the sustained	at Dart 20 requirem	-	+	+							
(k): Excess/Deficient funds in separate Section 30.7 account	ts is runus in separat	e Section 30.7 accounts, less the customer amou	it Part 30 requirement.			1							
(I): This represents the targeted excess of proprietary funds	donositod into	rate Costian 20.7 assounts about the	acust Dart 20 reguiners	nt This taxaet	unt is set by the f	+							
(1): This represents the targeted excess of proprietary funds	s deposited into sepai	rate Section 30.7 accounts above the customer ar	nount Part 30 requireme	ent. This target amou	unt is set by the firm.	1		 					
(m): This represents the total amount of money, securities,	and proporty hald in	slopped guan gustamar assemble for -!!	austomors in sor!	so with Costion 43/6	of the Commodit : 5:-	shange Act		 				1	
(iii). This represents the total amount of money, securities,	and property neid in	cleared swap customer accounts for cleared swa	customers in complian	ce with Section 4d(f)	or trie Commodity Ex	criange Act.		 				1	
(n): This represents the amount of funds an FCM is required	to cogragate for our	ctomore who trade cleared cwans		+	+	+ + + + + + + + + + + + + + + + + + + +		 				1	
(11). This represents the amount of funds an FCM is required	i to segregate for cus	storiers who trade cleared SWaps.		1		1		<u> </u>					
(o): Excess/Deficient funds in cleared swap customer account	into ic fundo in conse	ato cleared curan cogregation accounts. Icos the el	narod cuan cuctomer co	arogation requiremen	nt	+ + + + + + + + + + + + + + + + + + + +		 				1	
(u). Excess/Denicient runus in cleared swap customer accoun	inus is runus in separa	ate cleared swap segregation accounts, less the ci	eareu swap customer se	yreyauori requiremen	IL.	1		<u> </u>					
(p): This represents the targeted excess of proprietary fund	de denocited into con	presto elegand guan cogregation accounts above th	o closeod curso custome	r coaroantion roquir	mont. This target an	nount is set by the firm		<u> </u>					
(p). This represents the targeted excess or proprietary fund	us deposited into sep	idiate cleared swap segregation accounts above ti	ie cieareu swap custome	segregation require	ement. This target an	nount is set by the nith.							
(g): This represents the total amount of funds at an FCM, RI	EED or ECMBED that	t would be obtained by combining all money, con-	ritios and proporty done	soited by a retail force	v sustamor into a rota	il forcy aggregat or aggregate adjusted for	the realized and unreali	and not profit or loss	- 				
itus. This represents the total amount or runds at an FCM, RI	JED. OF FUMKED that	t would be obtained by combining all money, sect	nues and property debo	isiteu dy a retail fore)	x customer into a reta	ill forex account or accounts, adjusted for	uie realizeo ano unreali.	red Het Drofft of Joss.		1	1	1	<u> </u>