

SELECTED FCM FINANCIAL DATA AS OF  
 August 31, 2024  
 FROM REPORTS FILED BY  
 September 30, 2024

|    | Futures Commission Merchant /<br>Retail Foreign Exchange Dealer | Registered As | DSRO     | As of<br>Date | Adjusted Net<br>Capital | Net Capital<br>Requirement | Excess Net<br>Capital | Customers' Assets<br>in Seg | Customers' Seg<br>Required 4d(a)(2) | Excess/Deficient<br>Funds in Seg | Target Residual<br>Interest in Seg | Funds in Separate<br>Section 30.7<br>Accounts | Customer Amount<br>Pt. 30 Required | Excess/Deficient<br>Funds in Separate<br>Section 30.7<br>Accounts | Target Residual<br>Interest in Section<br>30.7 | Funds in Separate<br>Cleared Swap<br>Segregation | Customer Amount<br>Cleared Swap Seg<br>Required | Excess/Deficient<br>Funds in Cleared<br>Swap Seg<br>Accounts | Target Residual<br>Interest in Cleared<br>Swap Seg | Total Amount of<br>Retail Forex<br>Obligation |
|----|---|---------------|----------|---------------|-------------------------|----------------------------|-----------------------|-----------------------------|-------------------------------------|----------------------------------|------------------------------------|---|------------------------------------|---|--|--|---|--|--|---|
|    | (a)   | (b)           |          |               | (c)                     | (d)                        | (e)                   | (f)                         | (g)                                 | (h)                              | (i)                                | (j)   | (k)                                | (l)   | (m)  | (n)  | (o)   | (p)  | (q)  |   |
| 1  | ABN AMRO CLEARING USA LLC                                       | FCM BD        | CBOT     | 08/31/2024    | 815,482,479             | 247,130,879                | 568,351,600           | 4,282,472,123               | 3,665,048,528                       | 617,423,595                      | 350,000,000                        | 253,612,335                                   | 216,934,230                        | 36,678,105  | 15,000,000                                     | 0  | 0   | 0  | 0  | 0   |
| 2  | ADM INVESTOR SERVICES INC                                       | FCM           | CBOT     | 08/31/2024    | 458,299,314             | 288,728,078                | 169,571,236           | 7,386,848,145               | 7,118,895,717                       | 267,952,428                      | 120,000,000                        | 344,212,856                                   | 297,721,557                        | 46,491,299  | 20,000,000                                     | 10,754,992                                       | 0   | 10,754,992   | 8,000,000  | 0   |
| 3  | ADVANTAGE FUTURES LLC   | FCM           | CME      | 08/31/2024    | 37,111,895              | 12,707,205                 | 24,404,690            | 402,627,508                 | 385,746,627                         | 16,880,881                       | 8,500,000                          | 106,522,533                                   | 102,085,254                        | 4,437,279   | 1,000,000                                      | 0  | 0   | 0  | 0  | 0   |
| 4  | AMP GLOBAL CLEARING LLC   | FCM           | NFA      | 08/31/2024    | 15,243,641              | 1,000,000                  | 14,243,641            | 80,719,036                  | 65,369,514                          | 15,349,522                       | 900,000                            | 2,004,770                                     | 1,494,760                          | 510,010   | 150,000  | 0  | 0   | 0  | 0  | 0   |
| 5  | APEX CLEARING CORPORATION                                       | FCM BD        | NFA      | 08/31/2024    | 485,350,622             | 33,726,105                 | 451,614,517           | 200,640,336                 | 168,271,669                         | 32,368,667                       | 10,000,000                         | 0   | 0                                  | 0   | 0  | 0  | 0   | 0  | 0  | 0   |
| 6  | BARCLAYS CAPITAL INC  | FCM BD        | NYME     | 08/31/2024    | 7,585,973,108           | 2,739,139,299              | 4,846,833,809         | 16,939,834,289              | 16,562,871,535                      | 376,962,754                      | 240,000,000                        | 6,116,204,863                                 | 5,893,322,775                      | 222,882,088   | 81,000,000                                     | 17,058,047,938                                   | 16,562,229,953                                  | 495,817,985  | 45,000,000   | 0   |
| 7  | BITNOMIAL CLEARING LLC  | FCM           | NFA      | 08/31/2024    | 1,573,618               | 1,000,000                  | 573,618               | 1,039,853                   | 516,958                             | 522,895                          | 100,000                            | 0   | 0                                  | 0   | 0  | 0  | 0   | 0  | 0  | 0   |
| 8  | BNP PARIBAS SECURITIES CORP                                     | FCM BD        | CBOT     | 08/31/2024    | 2,476,350,684           | 1,047,068,211              | 1,429,282,473         | 8,034,072,812               | 6,886,513,467                       | 1,147,559,345                    | 570,000,000                        | 2,085,033,685                                 | 1,229,790,762                      | 855,242,923   | 210,000,000                                    | 2,874,233,283                                    | 2,669,806,948                                   | 204,426,335  | 130,000,000  | 0   |
| 9  | BOFA SECURITIES INC   | FCM BD        | CBOT     | 08/31/2024    | 19,754,550,500          | 4,591,541,256              | 15,163,009,244        | 28,505,133,618              | 27,049,993,308                      | 1,455,140,310                    | 200,000,000                        | 5,970,654,207                                 | 5,677,389,286                      | 293,264,921   | 150,000,000                                    | 17,938,101,709                                   | 17,677,864,640                                  | 260,237,069  | 150,000,000  | 0   |
| 10 | CANTOR FITZGERALD & CO  | FCM BD        | CBOT     | 08/31/2024    | 405,966,073             | 5,559,168                  | 400,406,905           | 5,365,030                   | 0                                   | 5,365,030                        | 3,000,000                          | 0   | 0                                  | 0   | 0  | 0  | 0   | 0  | 0  | 0   |
| 11 | CHARLES SCHWAB FUTURES AND FOREX LLC                            | FCM           | NFA      | 08/31/2024    | 285,800,112             | 41,929,817                 | 243,870,295           | 747,047,487                 | 582,899,120                         | 164,175,367                      | 100,000,000                        | 0   | 0                                  | 0   | 0  | 0  | 0   | 0  | 0  | 63,684,027                                    |
| 12 | CHS HEDGING LLC   | FCM           | CBOT     | 08/31/2024    | 80,507,513              | 10,212,823                 | 70,294,690            | 124,763,436                 | 65,433,063                          | 59,330,373                       | 24,300,000                         | 1,605,485                                     | 0                                  | 1,605,485   | 200,000  | 536,157  | 0   | 536,157  | 120,000  | 0   |
| 13 | CITIGROUP GLOBAL MARKETS INC                                    | FCM BD SD     | CBOT/NFA | 08/31/2024    | 16,866,744,223          | 4,766,157,635              | 12,100,586,588        | 17,819,621,368              | 17,197,842,002                      | 621,779,366                      | 429,100,000                        | 5,037,901,730                                 | 4,707,641,645                      | 330,260,085   | 172,200,000                                    | 31,185,935,217                                   | 30,534,687,985                                  | 651,247,232  | 452,900,000  | 0   |
| 14 | CLEAR STREET LLC  | FCM BD        | CME      | 08/31/2024    | 529,584,133             | 36,801,544                 | 492,782,589           | 358,801,693                 | 351,665,853                         | 7,135,840                        | 3,500,000                          | 0   | 0                                  | 0   | 0  | 0  | 0   | 0  | 0  | 0   |
| 15 | COINBASE FINANCIAL MARKETS INC                                  | FCM           | NFA      | 08/31/2024    | 19,846,708              | 1,000,000                  | 18,846,708            | 31,332,450                  | 21,381,915                          | 9,950,535                        | 5,000,000                          | 0   | 0                                  | 0   | 0  | 0  | 0   | 0  | 0  | 0   |
| 16 | CREDIT SUISSE SECURITIES (USA) LLC                              | FCM BD        | CBOT     | 08/31/2024    | 2,301,314,585           | 5,046,936                  | 2,296,267,649         | 1,608,881                   | 0                                   | 1,608,881                        | 1                                  | 1,407,972                                     | 0                                  | 1,407,972   | 1  | 703,972  | 0   | 703,972  | 1  | 0   |
| 17 | DAIWA CAPITAL MARKETS AMERICA INC                               | FCM BD        | CME      | 08/31/2024    | 1,356,450,653           | 1,537,282                  | 1,354,913,371         | 3,527,345                   | 0                                   | 3,527,345                        | -1                                 | 1,563,383                                     | 0                                  | 1,563,383   | 1  | 0  | 0   | 0  | 0  | 0   |
| 18 | DEUTSCHE BANK SECURITIES INC                                    | FCM BD        | CBOT     | 08/31/2024    | 4,562,810,836           | 260,849,379                | 4,301,961,457         | 3,027,031,710               | 2,873,802,960                       | 153,228,750                      | 100,000,000                        | 783,816,394                                   | 686,436,634                        | 97,379,760  | 50,000,000                                     | 0  | 0   | 0  | 0  | 0   |
| 19 | DIRECT ACCESS USA LLC   | FCM           | CME      | 08/31/2024    | 19,230,655              | 5,542,637                  | 13,688,018            | 149,053,413                 | 131,752,897                         | 17,300,516                       | 2,500,000                          | 2,541,719                                     | 1,029,140                          | 1,512,575   | 1,000,000                                      | 0  | 0   | 0  | 0  | 0   |
| 20 | DORMAN TRADING LLC  | FCM           | CME      | 08/31/2024    | 28,778,625              | 3,225,477                  | 25,453,148            | 381,528,564                 | 374,742,238                         | 6,786,326                        | 2,000,000                          | 0   | 0                                  | 1,651,166   | 200,000  | 0  | 0   | 0  | 0  | 0   |
| 21 | ETRADE FUTURES LLC  | FCM           | NFA      | 08/31/2024    | 64,843,883              | 4,169,977                  | 60,673,906            | 138,318,940                 | 77,900,063                          | 60,418,877                       | 11,000,000                         | 0   | 0                                  | 0   | 0  | 0  | 0   | 0  | 0  | 0   |
| 22 | FUTU FUTURES INC  | FCM           | NFA      | 08/31/2024    | 1,988,612               | 1,000,000                  | 988,612               | 0                           | 0                                   | 0                                | 0                                  | 0   | 0                                  | 0   | 0  | 0  | 0   | 0  | 0  | 0   |
| 23 | GAIN CAPITAL GROUP LLC  | FCM RFED      | NFA      | 08/31/2024    | 54,116,415              | 29,644,793                 | 24,471,622            | 0                           | 0                                   | 0                                | 0                                  | 0   | 0                                  | 0   | 0  | 0  | 0   | 0  | 0  | 202,895,858                                   |
| 24 | GAH FINANCIALS LLC  | FCM           | CME      | 08/31/2024    | 300,890,322             | 15,035,251                 | 15,855,141            | 188,926,605                 | 174,182,052                         | 14,744,553                       | 6,100,000                          | 13,778,665                                    | 11,279,560                         | 2,499,105   | 350,000  | 0  | 0   | 0  | 0  | 0   |
| 25 | GOLDMAN SACHS & CO LLC  | FCM BD SD     | CBOT/NFA | 08/31/2024    | 20,277,293,665          | 5,213,558,248              | 15,063,735,417        | 34,550,267,588              | 33,553,099,218                      | 997,168,370                      | 775,000,000                        | 10,417,579,066                                | 9,803,306,053                      | 614,273,013   | 475,000,000                                    | 22,534,742,404                                   | 21,733,796,028                                  | 800,946,376  | 350,000,000  | 0   |
| 26 | HIDDEN ROAD PARTNERS CIV US LLC                                 | FCM           | CME      | 08/31/2024    | 31,269,371              | 1,000,000                  | 30,269,371            | 17,034,697                  | 8,505,318                           | 8,529,379                        | 3,860,807                          | 0   | 0                                  | 0   | 0  | 0  | 0   | 0  | 0  | 0   |
| 27 | HSCB SECURITIES USA INC   | FCM BD        | CME      | 08/31/2024    | 1,524,504,756           | 474,964,055                | 1,049,540,701         | 4,338,099,272               | 4,167,474,508                       | 170,624,764                      | 133,000,000                        | 273,128,948                                   | 238,324,771                        | 34,804,177  | 15,000,000                                     | 1,806,500,564                                    | 1,695,612,725                                   | 110,887,839  | 80,000,000   | 0   |
| 28 | INTERACTIVE BROKERS LLC   | FCM BD        | CME      | 08/31/2024    | 8,915,283,097           | 1,082,062,315              | 7,833,220,782         | 8,687,230,369               | 8,376,804,090                       | 310,426,279                      | 155,000,000                        | 799,430,464                                   | 665,289,879                        | 134,140,585   | 80,000,000                                     | 3,044,150  | 42,937  | 3,001,213  | 2,000,000  | 33,656,795                                    |
| 29 | IRONBEAM INC  | FCM           | CME      | 08/31/2024    | 16,389,668              | 2,353,202                  | 14,036,466            | 111,767,575                 | 106,602,968                         | 16,604,607                       | 1,600,000                          | 796,260                                       | 349,259                            | 447,001   | 60,000   | 0  | 0   | 0  | 0  | 0   |
| 30 | JEFFERIES LLC   | FCM BD        | NFA      | 08/31/2024    | 1,754,381,152           | 113,536,018                | 1,640,845,134         | 0                           | 0                                   | 0                                | 0                                  | 0   | 0                                  | 0   | 0  | 0  | 0   | 0  | 0  | 0   |
| 31 | JP MORGAN SECURITIES LLC  | FCM BD SD     | CEI/NFA  | 08/31/2024    | 26,159,951,668          | 5,833,240,271              | 20,326,711,397        | 44,877,257,896              | 43,764,778,305                      | 1,112,479,591                    | 831,530,788                        | 7,544,668,682                                 | 7,149,068,878                      | 395,599,804   | 193,024,860                                    | 23,845,966,391                                   | 22,829,904,372                                  | 1,016,062,019  | 707,727,036  | 0   |
| 32 | LIME TRADING CORP   | FCM BD        | NFA      | 08/31/2024    | 11,280,631              | 1,000,000                  | 10,280,631            | 46,094                      | 0                                   | 46,094                           | 0                                  | 40,010  | 0                                  | 40,010  | 0  | 0  | 0   | 0  | 0  | 0   |
| 33 | MACQUARIE FUTURES USA LLC                                       | FCM           | CBOT     | 08/31/2024    | 520,686,841             | 343,158,266                | 177,528,575           | 4,119,535,688               | 3,952,445,161                       | 167,090,527                      | 85,000,000                         | 251,791,531                                   | 207,060,797                        | 44,730,734  | 7,000,000                                      | 5,180,387  | 0   | 5,180,387  | 1,000,000  | 0   |
| 34 | MAREX CAPITAL MARKETS INC                                       | FCM BD SD     | CME/NFA  | 08/31/2024    | 578,920,925             | 338,274,781                | 240,646,144           | 7,222,397,979               | 7,086,239,636                       | 136,158,343                      | 75,000,000                         | 243,094,957                                   | 217,207,709                        | 25,887,248  | 8,000,000                                      | 5,150,681  | 149,833   | 5,000,858  | 2,000,000  | 0   |
| 35 | MCVEAN TRADING & INVESTMENTS LLC                                | FCM           | NFA      | 08/31/2024    | 12,877,745              | 1,480,555                  | 11,397,190            | 397,590,811                 | 373,544,684                         | 24,046,127                       | 18,000,000                         | 2,825,798                                     | 0                                  | 2,825,798   | 1,000,000                                      | 0  | 0   | 0  | 0  | 0   |
| 36 | MFI FUNDING LLC   | FCM           | NFA      | 08/31/2024    | 113,849,636             | 1,000,000                  | 112,849,636           | 0                           | 0                                   | 0                                | 0                                  | 0   | 0                                  | 0   | 0  | 0  | 0   | 0  | 0  | 0   |
| 37 | MID CO COMMODITIES INC  | FCM           | NFA      | 08/31/2024    | 15,516,842              | 3,255,638                  | 12,261,204            | 33,241,566                  | 16,303,051                          | 16,938,515                       | 5,138,000                          | 0   | 0                                  | 0   | 0  | 0  | 0   | 0  | 0  | 0   |
| 38 | MINT BROKERS  | FCM BD        | NFA      | 08/31/2024    | 2,279,055               | 1,000,000                  | 1,279,055             | 0                           | 0                                   | 0                                | 0                                  | 0   | 0                                  | 0   | 0  | 0  | 0   | 0  | 0  | 0   |
| 39 | MIZUHO SECURITIES USA LLC                                       | FCM BD        | CME      | 08/31/2024    | 1,654,002,038           | 521,727,173                | 1,132,274,865         | 8,968,551,752               | 8,577,529,221                       | 391,022,531                      | 150,000,000                        | 1,568,462,775                                 | 1,395,668,364                      | 172,794,411   | 70,000,000                                     | 22,115,247                                       | 0   | 22,115,247   | 10,000,000   | 0   |
| 40 | MORGAN STANLEY & CO LLC   | FCM BD SD     | CME/NFA  | 08/31/2024    | 18,452,118,372          | 4,735,850,411              | 13,716,267,961        | 28,802,133,692              | 28,250,041,204                      | 552,092,488                      | 235,000,000                        | 9,087,167,552                                 | 8,851,198,580                      | 235,968,972   | 140,000,000                                    | 30,190,213,142                                   | 29,866,583,540                                  | 323,629,602  | 92,000,000   | 0   |
| 41 | NANHUA USA LLC  | FCM           | CME      | 08/31/2024    | 54,044,354              | 19,632,114                 | 34,412,240            | 407,284,200                 | 364,540,753                         | 42,743,447                       | 3,500,000                          | 357,395                                       | 1,092                              | 356,303   | 100,000  | 0  | 0   | 0  | 0  | 0   |
| 42 | NATWEST MARKETS SECURITIES INC                                  | FCM BD        | CBOT     | 08/31/2024    | 535,605,189             | 4,770,886                  | 530,834,303           | 7,500,180                   | 0                                   | 7,500,180                        | 5,000,000                          | 0   | 0                                  | 0   | 0  | 0  | 0   | 0  | 0  | 0   |
| 43 | NINJATRADER CLEARING LLC  | FCM           | NFA      | 08/31/2024    | 23,786,187              | 1,000,000                  | 22,786,187            | 278,207,729                 | 246,717,394                         | 31,490,335                       | 4,000,000                          | 2,772,548                                     | 1,446,367                          | 1,326,181   | 400,000  | 0  | 0   | 0  | 0  | 0   |
| 44 | NOMURA SECURITIES INTERNATIONAL INC                             | FCM BD        | CBOT     | 08/31/2024    | 2,126,797,658           | 209,703,147                | 1,917,094,511         | 135,950,576                 | 94,441,079                          | 15,000,000                       | 1,250,000                          | 0   | 0                                  | 0   | 1,000,000                                      | 0  | 0   | 0  | 0  | 0   |
| 45 | OANDA CORPORATION   | FCMRFD        | NFA      | 08/31/2024    | 51,190,780              | 28,600,386                 | 22,590,394            | 0                           | 0                                   | 0                                | 0                                  | 0   | 0                                  | 0   | 0  | 0  | 0   | 0  | 0  | 176,059,664                                   |
| 46 | PHILLIP CAPITAL INC   | FCM BD        | CME      | 08/31/2024    | 113,481,380             | 47,151,682                 | 66,329,698            | 917,771,940                 | 870,017,423                         | 47,754,517                       | 17,000,000                         | 29,048,415                                    | 22,881,488                         | 6,166,927   | 1,000,000                                      | 0  | 0   | 0  | 0  | 0   |
| 47 | PICCTET OVERSEAS INC  | FCM BD        | NFA      | 08/31/2024    | 39,333,166              | 8,061,758                  | 31,271,408            | 121,183,303                 | 114,766,530                         | 6,416,773                        | 1,500,000                          | 23,793,757                                    | 19,744,244                         | 4,049,513   | 900,000  | 0  | 0   | 0  | 0  | 0   |
| 48 | PLUS500US FINANCIAL SERVICES, LLC                               | FCM           | CBOT     | 08/31/2024    | 120,290,710             | 9,002,605                  | 111,288,105           | 451,388,005                 | 344,609,056                         | 106,778,949                      | 4,900,000                          | 1,329,069                                     | 383,341                            | 945,728   | 100,000  | 0  | 0   | 0  | 0  | 0   |
| 49 | RBC CAPITAL MARKETS LLC   | FCM BD        | CME      | 08/31/2024    | 2,127,523,987           |                            |                       |                             |                                     |                                  |                                    |   |                                    |   |  |  |   |  |  |   |

