

SELECTED FCM FINANCIAL DATA AS OF  
September 30, 2024  
FROM REPORTS FILED BY  
October 31, 2024

	Futures Commission Merchant / Retail Foreign Exchange Dealer	Registered As	DSRO	As of Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital	Customers' Assets in Seg	Customers' Seg Required 4d(a)(2)	Excess/Deficient Funds in Seg	Target Residual Interest in Seg	Funds in Separate Section 30.7 Accounts	Customer Amount Pt. 30 Required	Excess/Deficient Funds in Separate Section 30.7 Accounts	Target Residual Interest in Section 30.7	Funds in Separate Cleared Swap Segregation	Customer Amount Cleared Swap Seg Required	Excess/Deficient Funds in Cleared Swap Seg Accounts	Target Residual Interest in Cleared Swap Seg	Total Amount of Retail Forex Obligation
	(a)	(b)			(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)	(q)	
1	ABN AMRO CLEARING USA LLC	FCM BD	CBOT	09/30/2024	936,484,934	250,278,004	686,206,930	4,439,464,963	3,830,215,754	609,249,209	350,000,000	215,643,968	178,615,656	37,028,312	15,000,000	0	0	0	0	0
2	ADM INVESTOR SERVICES INC	FCM	CBOT	09/30/2024	430,594,282	296,663,581	133,930,701	7,306,044,327	7,059,334,977	246,709,350	120,000,000	344,731,643	299,116,880	45,614,763	20,000,000	10,793,817	0	10,793,817	8,000,000	0
3	ADVANTAGE FUTURES LLC	FCM	CME	09/30/2024	37,018,831	8,663,010	28,355,821	395,755,271	378,936,146	16,819,125	8,500,000	105,241,064	100,885,004	4,356,060	1,000,000	0	0	0	0	0
4	AMP GLOBAL CLEARING LLC	FCM	NFA	09/30/2024	15,762,694	1,000,000	14,762,694	83,688,335	67,545,618	16,142,717	900,000	1,639,703	407,716	0	150,000	0	0	0	0	0
5	APEX CLEARING CORPORATION	FCM BD	NFA	09/30/2024	505,649,956	30,946,388	474,698,568	213,509,491	185,752,008	27,757,483	10,000,000	0	0	0	0	0	0	0	0	0
6	BARCLAYS CAPITAL INC	FCM BD	NYME	09/30/2024	7,573,008,731	2,778,116,901	4,794,891,830	16,466,204,812	16,005,765,256	460,439,556	330,000,000	6,090,753,879	5,866,559,034	224,194,845	91,000,000	17,670,191,135	17,239,022,059	431,169,076	59,000,000	0
7	BITNOMIAL CLEARING LLC	FCM	NFA	09/30/2024	1,579,527	1,000,000	579,527	971,479	445,891	525,588	100,000	0	0	0	0	0	0	0	0	0
8	BNP PARIBAS SECURITIES CORP	FCM BD	CBOT	09/30/2024	2,369,665,594	1,102,163,978	1,267,501,616	8,109,867,605	7,118,837,859	991,029,746	570,000,000	2,019,982,646	1,074,946,771	945,035,875	210,000,000	2,930,074,881	2,709,807,522	220,267,359	135,000,000	0
9	BOFA SECURITIES INC	FCM BD	CBOT	09/30/2024	18,879,175,856	4,753,631,308	14,125,544,548	29,547,057,654	28,603,204,707	943,852,947	525,000,000	6,224,766,517	5,709,612,981	515,153,536	150,000,000	19,054,510,866	18,701,035,867	353,474,999	150,000,000	0
10	CANTOR FITZGERALD & CO	FCM BD	CBOT	09/30/2024	359,862,649	3,388,018	356,474,631	5,387,018	0	5,387,018	3,000,000	0	0	0	0	0	0	0	0	0
11	CHARLES SCHWAB FUTURES AND FOREX LLC	FCM	NFA	09/30/2024	296,558,346	45,393,215	251,165,131	801,961,784	638,411,443	163,550,341	100,000,000	0	0	0	0	0	0	0	0	65,270,567
12	CHS HEDGING LLC	FCM	CBOT	09/30/2024	83,872,349	12,660,258	71,212,091	129,703,701	129,703,701	69,077,208	60,626,493	24,300,000	1,609,557	0	1,609,557	200,000	537,517	0	537,517	120,000
13	CITIGROUP GLOBAL MARKETS INC	FCM BD SD	CBOT/NFA	09/30/2024	17,256,296,385	4,887,732,769	12,368,563,616	17,720,006,823	17,029,285,295	690,721,528	469,700,000	4,279,734,047	3,964,055,625	315,678,422	164,500,000	33,538,967,504	32,845,347,044	693,620,460	477,400,000	0
14	CLEAR STREET LLC	FCM BD	CME	09/30/2024	547,173,412	34,638,898	512,534,514	374,143,976	364,744,838	9,399,138	3,500,000	0	0	0	0	0	0	0	0	0
15	COINBASE FINANCIAL MARKETS INC	FCM	NFA	09/30/2024	20,162,203	1,000,000	19,162,203	35,196,437	24,650,104	10,546,333	5,000,000	0	0	0	0	0	0	0	0	0
16	CREDIT SUISSE SECURITIES (USA) LLC	FCM BD	NFA	09/30/2024	2,270,130,972	1,812,327	2,268,318,645	0	0	0	0	0	0	0	0	0	0	0	0	0
17	DAIWA CAPITAL MARKETS AMERICA INC	FCM BD	CME	09/30/2024	1,297,049,551	2,588,015	1,294,461,536	3,539,426	3,539,426	0	0	1,574,975	0	1,574,975	1	0	0	0	0	0
18	DEUTSCHE BANK SECURITIES INC	FCM BD	CBOT	09/30/2024	4,245,882,632	291,342,031	3,954,540,601	3,540,329,800	3,387,645,319	152,684,481	100,000,000	845,204,167	746,844,606	98,359,561	50,000,000	0	0	0	0	0
19	DIRECT ACCESS USA LLC	FCM	CME	09/30/2024	19,288,662	3,441,973	15,846,689	114,329,632	99,457,191	14,872,441	2,500,000	2,656,570	1,135,792	1,520,778	1,000,000	0	0	0	0	0
20	DORMAN TRADING LLC	FCM	CME	09/30/2024	28,508,053	4,922,881	23,585,172	384,427,869	375,137,790	9,290,079	2,000,000	2,980,014	1,663,959	0	200,000	0	0	0	0	0
21	ETRADE FUTURES LLC	FCM	NFA	09/30/2024	64,470,413	4,406,168	60,064,245	125,211,309	64,580,332	60,630,977	11,000,000	0	0	0	0	0	0	0	0	0
22	FUTU FUTURES INC	FCM	NFA	09/30/2024	1,994,531	1,000,000	994,531	0	0	0	0	0	0	0	0	0	0	0	0	0
23	GAIN CAPITAL GROUP LLC	FCM RFED	NFA	09/30/2024	57,066,946	29,730,968	27,335,978	0	0	0	0	0	0	0	0	0	0	0	0	204,619,354
24	GH FINANCIALS LLC	FCM	CME	09/30/2024	31,023,625	18,328,681	12,694,944	241,409,990	226,823,401	14,586,589	6,100,000	13,094,767	10,541,655	2,553,112	350,000	0	0	0	0	0
25	GOLDMAN SACHS & CO LLC	FCM BD SD	CBOT/NFA	09/30/2024	19,414,501,045	5,394,396,400	14,020,104,645	34,715,883,769	33,719,600,758	996,283,011	775,000,000	10,487,117,484	9,901,661,764	585,455,720	475,000,000	24,536,907,454	23,730,997,030	805,910,424	350,000,000	0
26	HIDDEN ROAD PARTNERS CIV US LLC	FCM	CME	09/30/2024	31,367,656	3,877,270	27,490,386	146,735,746	126,145,479	20,590,267	8,900,000	0	0	0	0	0	0	0	0	0
27	HSBC SECURITIES USA INC	FCM BD	CME	09/30/2024	1,025,453,110	432,016,298	593,436,812	4,343,207,255	4,209,755,385	133,451,870	93,000,000	261,735,635	195,626,284	66,109,351	15,000,000	1,774,929,125	1,668,989,776	105,939,349	85,000,000	0
28	INTERACTIVE BROKERS LLC	FCM BD	CME	09/30/2024	8,698,676,940	1,128,963,103	7,569,713,837	8,690,061,157	8,372,134,698	317,926,459	155,000,000	861,108,668	719,781,960	141,326,708	80,000,000	3,085,959	85,670	3,000,289	2,000,000	28,525,231
29	IRONBEAM INC	FCM	CME	09/30/2024	16,277,102	4,015,659	12,261,443	141,201,933	124,299,269	16,902,664	1,600,000	798,035	352,570	0	60,000	0	0	0	0	0
30	JEFFERIES LLC	FCM BD	NFA	09/30/2024	1,419,989,720	118,596,739	1,301,392,981	0	0	0	0	0	0	0	0	0	0	0	0	0
31	JP MORGAN SECURITIES LLC	FCM BD SD	CEI/NFA	09/30/2024	23,932,454,484	5,980,742,339	17,951,712,145	46,297,268,774	45,065,274,822	1,231,993,952	856,240,222	8,144,835,507	7,718,226,127	426,609,380	208,392,105	24,061,974,846	23,031,761,827	1,030,213,019	713,984,617	0
32	LIME TRADING CORP	FCM BD	NFA	09/30/2024	11,634,442	1,000,000	10,634,442	46,094	46,094	0	20,000	40,010	0	40,010	20,000	0	0	0	0	0
33	MACQUARIE FUTURES USA LLC	FCM	CBOT	09/30/2024	486,388,043	345,286,792	141,101,251	4,037,235,219	3,885,268,998	151,966,221	85,000,000	299,693,637	259,772,807	39,920,830	7,000,000	675,780	0	675,780	250,000	0
34	MAREX CAPITAL MARKETS INC	FCM BD SD	CME/NFA	09/30/2024	611,855,455	354,215,550	257,639,905	7,567,992,090	7,429,069,392	138,922,698	75,000,000	219,138,228	193,724,369	25,413,859	8,000,000	10,424,654	5,417,780	5,006,874	2,000,000	0
35	MCVEAN TRADING & INVESTMENTS LLC	FCM	NFA	09/30/2024	14,150,826	1,833,824	12,317,002	387,709,887	363,089,228	24,620,759	18,000,000	2,826,019	0	2,826,019	1,000,000	0	0	0	0	0
36	MFI FUNDING LLC	FCM	NFA	09/30/2024	114,367,638	1,000,000	113,367,638	0	0	0	0	0	0	0	0	0	0	0	0	0
37	MID CO COMMODITIES INC	FCM	NFA	09/30/2024	14,949,255	4,016,874	10,932,381	41,705,010	24,841,064	16,863,946	5,138,000	0	0	0	0	0	0	0	0	0
38	MINT BROKERS	FCM BD	NFA	09/30/2024	2,285,951	1,000,000	1,285,951	0	0	0	0	0	0	0	0	0	0	0	0	0
39	MIZUHO SECURITIES USA LLC	FCM BD	CME	09/30/2024	1,535,275,220	544,355,342	990,919,878	8,885,422,441	8,493,752,413	391,670,028	150,000,000	1,626,952,138	1,452,277,640	174,674,498	70,000,000	22,193,621	0	22,193,621	10,000,000	0
40	MORGAN STANLEY & CO LLC	FCM BD SD	CME/NFA	09/30/2024	17,159,696,627	4,817,600,757	12,342,095,870	29,572,818,758	29,029,937,958	542,880,800	235,000,000	8,891,099,016	8,688,200,532	202,898,484	140,000,000	30,697,295,014	30,375,398,369	321,896,645	92,000,000	0
41	NANHUA USA LLC	FCM	CME	09/30/2024	55,058,472	22,552,354	32,506,118	480,503,326	443,777,805	36,725,521	3,500,000	355,760	1,088	354,672	100,000	0	0	0	0	0
42	NATWEST MARKETS SECURITIES INC	FCM BD	CBOT	09/30/2024	600,662,179	8,131,963	592,530,216	7,500,573	7,500,573	0	5,000,000	0	0	0	0	0	0	0	0	0
43	NINJATRADER CLEARING LLC	FCM	NFA	09/30/2024	25,436,798	1,000,000	24,436,798	281,471,336	249,337,683	32,133,653	4,000,000	2,882,976	1,599,801	1,283,175	400,000	0	0	0	0	0
44	NOMURA SECURITIES INTERNATIONAL INC	FCM BD	CBOT	09/30/2024	2,245,620,371	204,648,566	2,040,971,805	156,342,489	114,232,325	42,110,164	15,000,000	1,250,000	0	0	1,000,000	0	0	0	0	0
45	OANDA CORPORATION	FCMRFD	NFA	09/30/2024	53,960,603	28,601,934	25,358,669	0	0	0	0	0	0	0	0	0	0	0	0	175,740,988
46	PHILLIP CAPITAL INC	FCM BD	NFA	09/30/2024	115,833,580	45,581,857	70,251,723	880,831,259	825,434,511	55,396,748	17,000,000	34,663,631	29,581,479	5,082,152	1,000,000	0	0	0	0	0
47	PICET OVERSEAS INC	FCM BD	NFA	09/30/2024	39,361,176	7,593,586	31,767,590	119,807,053	113,305,581	6,501,472	1,500,000	26,091,714	21,975,219	4,116,495	900,000	0	0	0	0	0
48	PLUS500US FINANCIAL SERVICES, LLC	FCM	CBOT	09/30/2024	121,367,165	9,498,377	111,868,788	451,668,921	344,729,319	106,939,602	4,900,000	1,485,655	503,251	982,404	100,000	0	0	0	0	0
49	RBC CAPITAL MARKETS LLC	FCM BD	CME	09/30/2024	1,795,918,822	537,322,123	1,257,996,699	4,0												

