Futures Commission Merchant / Retail Foreign Exchange Dealer	Registered As	DSRO	As of Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital	Customers' Assets in Seg	Customers' Seg Required 4d(a)(2)		Target Residual Interest in Seg	Funds in Separate Section 30.7 Accounts	Customer Amount Pt. 30 Required	Excess/Deficient Funds in Separate Section 30.7 Accounts			Customer Amount Cleared Swap Seg Required		Target Residual Interest in Cleared Swap Seg	Total Amount of Retail Forex Obligation
	(a)	(b)			(c)	(d)	(e)	(f)	(g)	(h)	(i)	(i)	(k)	(1)	(m)	(n)	(0)	(p)	(p)
1 ABN AMRO CLEARING USA LLC	FCM BD	CBOT	10/31/2024	816,658,501	257,024,477	559,634,024	4,611,579,383	3,981,138,024	630,441,359	350,000,000	209,054,840	174,574,708	34,480,132	15,000,000) (0	0	0	(
2 ADM INVESTOR SERVICES INC	FCM	CBOT	//	443,336,220	310,854,221	132,481,999	7,448,213,325	7,185,487,687	262,725,638	120,000,000	361,535,676	314,366,625	47,169,051	20,000,000	10,831,505	5 0	10,831,505	8,000,000	
3 ADVANTAGE FUTURES LLC	FCM FCM	CME NFA	10/31/2024	37,274,149 16,032,306	12,471,157	24,802,992	473,081,404	456,845,124 67 467 261	16,236,280	8,000,000	106,650,942	102,077,861	4,573,081	1,000,000	(0 0	0	0	
4 AMP GLOBAL CLEARING LLC 5 APEX CLEARING CORPORATION	FCM BD	NFA NFA	10/31/2024	436,516,537	1,000,000 31,303,279	15,032,306 405,213,258	83,928,409 203,474,327		16,461,148 29,942,877	10,000,000	1,914,183	1,532,122	382,061	150,000		0	0	0	
6 BARCLAYS CAPITAL INC	FCM BD	NYME		6.496.648.484	2,775,917,365	3,720,731,119	16.316.104.827		461,078,420		5,843,871,274	5,613,056,232	230,815,042	91,000,000	16,522,467,578	16.081.070.537	441,397,041	59,000,000	<u> </u>
7 BITNOMIAL CLEARING LLC	FCM	NFA	10/31/2024	1,585,312	1,000,000	585,312	972,454	444,120	528,334	100,000	0/0.0/0.0/0.0	0		0	(0 0	0	0	(
8 BNP PARIBAS SECURITIES CORP	FCM BD		10/31/2024	2,280,224,185	1,068,106,167	1,212,118,018	7,538,587,016	6,538,465,126	1,000,121,890		1,704,488,536	1,014,586,347	689,902,189	210,000,000		2,603,965,536	265,048,413	135,000,000	
9 BOFA SECURITIES INC	FCM BD	CBOT		20,179,434,608	4,571,645,384	15,607,789,224	28,178,545,218	26,611,820,817	1,566,724,401	525,000,000	5,277,906,204	5,016,451,723	261,454,481	150,000,000	18,313,962,653	17,832,670,675	481,291,978	150,000,000	<u>c</u>
10 CANTOR FITZGERALD & CO 11 CHARLES SCHWAB FUTURES AND FOREX LLC	FCM BD FCM	CBOT NFA	10/31/2024 10/31/2024	317,351,850 307,673,693	1,764,800 56.861.805	315,587,050 250,811,888	5,408,463 808,779,909	662,154,836	5,408,463 146,625,073	3,000,000	0	0	0	0	0	0	0	0	64,733,918
12 CHS HEDGING LLC	FCM	CBOT		84,369,694	12,275,791	72,093,903	143,843,274	81,997,173	61,846,101	24,300,000	1,613,446	0	1,613,446	200,000	538.816	5 0	538.816	120,000	04,/33,910
13 CITIGROUP GLOBAL MARKETS INC	FCM BD SD	CBOT/NFA		16,426,878,073	4,810,590,422	11,616,287,651	16,203,874,109	15,581,812,173	622,061,936	420,000,000	4,473,913,926	4,176,146,405	297,767,521	158,200,000			675,351,492	455,700,000	(
14 CLEAR STREET LLC	FCM BD	CME	10/31/2024	564,931,566	43,501,679	521,429,887 19,707,565	447,246,744	436,292,186	10,954,558	3,500,000	0	0	0	0	(0 0	0	0	
15 COINBASE FINANCIAL MARKETS INC	FCM	NFA	10/31/2024	20,799,069	1,091,504	19,707,565	41,891,338	30,452,739	11,438,599	5,000,000	0	0	0		(0 0	0	0	(
16 CREDIT SUISSE SECURITIES (USA) LLC 17 DAIWA CAPITAL MARKETS AMERICA INC	FCM BD FCM BD	NFA CME	10/31/2024	2,276,024,432 1,308,286,953	1,500,000 1,500,000	2,274,524,432	3,532,436	0	3,532,436) (1,542,434	0	1,542,434		0	0	0	0	
18 DEUTSCHE BANK SECURITIES INC	FCM BD	CBOT	10/31/2024 10/31/2024	4,080,770,144	312,955,094	1,306,786,953 3,767,815,050	3,235,740,709	3,079,410,070	156,330,639	100,000,000	1,116,158,752	1.014.537.243	101,621,509	50,000,000		0 0	0	0	
19 DIRECT ACCESS USA LLC	FCM	CME	10/31/2024 10/31/2024	19,364,677	4,030,119	15,334,558	94,117,943	84,836,466	9,281,477	2,500,000	2,569,771	1,040,945	1,528,826	1,000,000	i d	0 0	0	0	(
20 DORMAN TRADING LLC	FCM	CME	10/31/2024	28,625,428	4,261,413	24,364,015	360,526,942	347,472,577	13,054,365	2,000,000	2,912,977	1,654,197	1,258,780	200,000		0		0	
21 ETRADE FUTURES LLC	FCM FCM	NFA	10/31/2024 10/31/2024	63,342,389	5,903,839	57,438,550	118,266,192	62,550,360	55,715,832	11,000,000	0	0	0	<u> </u>		0 0	0	0	
22 F&O FINANCIAL LLC 23 FUTU FUTURES INC	FCM FCM	NFA NFA	10/31/2024 10/31/2024	2,385,714 2,000,066	1,000,000 1,000,000	1,385,714 1,000,066	0	0	<u> </u>	1 0	0	0	0	1 0	1 - 5	<u>)</u>	0	0	
24 GAIN CAPITAL GROUP LLC	FCM RFED	NFA NFA	10/31/2024	2,000,066 57,637,940	29,734,949	27,902,991	0	, n) (1	0	<u>U</u>	1		0	0	0	204,698,975
25 GH FINANCIALS LLC	FCM	CME	10/31/2024	31,235,557 19,731,029,551	17.890.613	13,344,944	233,213,974	217,658,908	15,555,066	6,100,000	12,426,924 9,719,555,998	9,825,965	2,600,959	350,000		0	ŏ		201,000,07
26 GOLDMAN SACHS & CO LLC	FCM BD SD	CBOT/NFA			5,476,817,971	14,254,211,580	34,566,244,349	33,551,275,218	1,014,969,131	775,000,000	9,719,555,998	9,122,509,635	597,046,363	475,000,000	23,790,243,231	22,976,161,500	814,081,731	350,000,000	(
27 HIDDEN ROAD PARTNERS CIV US LLC	FCM	CME		31,461,245	4,131,707	27,329,538	205,912,770	185,176,511	20,736,259	8,900,000		0	0		(0 0		0	
28 HSBC SECURITIES USA INC	FCM BD FCM BD	CME CME	10/31/2024 10/31/2024	1,181,214,688 8,979,390,586	459,027,735 1,190,487,538	722,186,953 7,788,903,048	4,021,756,316 8,441,958,302	3,877,562,280	144,194,036	101,000,000	254,062,458 793,143,956	203,450,398	50,612,060 124,445,286	15,000,000 80,000,000	1,725,450,521	1 1,610,397,853 7 51.692,105	115,052,668	85,000,000 2,000,000	27 427 451
29 INTERACTIVE BROKERS LLC 30 IRONBEAM INC	FCM BD	CME	10/31/2024	16,791,166	3,823,684	12,967,482	137,868,951	8,240,920,772 121,828,484	201,037,530 16,040,467	1,600,000	793,143,930	345,723	438,778	60,000		7 31,092,103	19,797,932	2,000,000	27,427,431
31 JEFFERIES LLC	FCM BD	NFA	10/31/2024	1,803,714,056	131,349,011	1,672,365,045	137,000,331	0	10,010,107	1,000,000	701,301	0 0	150,770	00,000		0 0	0	0	ř
32 JP MORGAN SECURITIES LLC	FCM BD SD	CEI/NFA	10/31/2024	23,639,453,872	5,962,410,241	17,677,043,631	45,014,862,061	43,404,093,577	1,610,768,484	824,677,778	7,827,501,638	7,443,945,681	383,555,957	200,986,533	24,035,981,211	1 22,422,378,700	1,613,602,511	695,093,740	
33 LIME TRADING CORP	FCM BD	NFA	10/31/2024	11,623,925	1,000,000	10,623,925	46,094	0	46,094	20,000	40,010	0	40,010	20,000		0		0	
34 MACQUARIE FUTURES USA LLC 35 MAREX CAPITAL MARKETS INC	FCM FCM BD SD	CBOT CMF/NFA	10/31/2024 10/31/2024	488,944,958 604,866,155	334,434,040 383,504,873	154,510,918 221,361,282	3,559,033,884 8,012,001,331	3,431,116,003 7,872,376,689	127,917,881 139,624,642	85,000,000 75,000,000	296,972,433 223,105,647	257,378,493 196,863,272	39,593,940 26,242,375	7,000,000 8,000,000	659,650		659,650 5,023,243	250,000 2,000,000	
36 MCVEAN TRADING & INVESTMENTS LLC	FCM FCM	NFA		14,271,034	1 813 209	12.457.825	385,714,457	361.880.607	23.833.850	18 000 000	2 826 243	190,003,272	20,242,373	1 000 000	0,104,1/1	1,160,928	3,023,243	2,000,000	
37 MFI FUNDING LLC	FCM	NFA	10/31/2024	115,084,042	1,000,000	114,084,042	0 0	0	25,055,050) 10,000,000	2,020,213	Ö	0	1,000,000	i	0 0	Ö	ő	ř
38 MID CO COMMODITIES INC	FCM	NFA	10/31/2024	16,237,176	5,004,477	11,232,699	51,708,696	34,526,424	17,182,272	5,138,000	0	0	0	0	(0	0	0	(
39 MINT BROKERS	FCM BD	NFA	10/31/2024 10/31/2024	2,301,820	1,000,000	1,301,820	0	0		0	0	0	0	0		0 0	0	0	(
40 MIZUHO SECURITIES USA LLC 41 MORGAN STANLEY & CO LLC	FCM BD FCM BD SD	CME CMF/NFA		1,528,520,439 16,545,533,658	513,542,264 4,747,463,539	1,014,978,175 11,798,070,119	7,918,783,100 28,840,558,530	7,527,914,635 27,786,938,204	390,868,465 1,053,620,326	150,000,000 235,000,000	1,587,615,290 8,535,514,736	1,413,389,502 8,333,530,370	174,225,788 201,984,366	70,000,000 140,000,000		2 0 3 28,616,407,205	22,266,522 321,268,348	10,000,000 92,000,000	
42 NANHUA USA LLC	FCM BD 3D				23 724 657	32.579.511	426,548,771	381,520,293			359,934	1.087	358,847	140,000,000	20,937,073,333	20,010,407,203	321,200,340	92,000,000	
43 NATWEST MARKETS SECURITIES INC	FCM BD	CBOT	10/31/2024 10/31/2024	56,304,168 581,171,318	23,724,657 1,012,044	580,159,274	7,500,621	0	45,028,478 7,500,621	3,500,000	333,331	0	0	100,000		0	Ö	ŏ	ř
44 NINJATRADER CLEARING LLC	FCM	NFA		24,217,163	1,000,000	23,217,163	280,229,444		32,185,566		2,786,474		1,301,318		(0	0	0	(
45 NOMURA SECURITIES INTERNATIONAL INC	FCM BD	CBOT		2,010,407,236	197,121,650	1,813,285,586	102,359,968	59,774,138	42,585,830	15,000,000	1,250,000	0	1,250,000	1,000,000	(0	0	0	
46 OANDA CORPORATION 47 PHILLIP CAPITAL INC	FCMRFD FCM BD	NFA CME	10/31/2024 10/31/2024	56,692,719 117,953,972	28,420,067 46,823,250	28,272,652 71,130,722	785,778,341	739.135.398	46,642,943	17,000,000	30.033.776	23,440,854	6,592,922	1,000,000		0	0	0	174,100,593
48 PICTET OVERSEAS INC	FCM BD	NFA	10/31/2024	40,690,926	7,963,036	32,727,890	120,969,503	114,401,302	6,568,201	1,500,000	25,853,743	21,697,654	4,156,089	900,000		0 0	0	0	- 7
49 PLUS500US FINANCIAL SERVICES, LLC	FCM	CBOT	10/31/2024	121,880,547	10,068,314	111,812,233	472,472,618	364,740,873	107,731,745	490,000	1,490,016	476,397	1,013,619	100,000	i i	0	0	0	ſ
50 RBC CAPITAL MARKETS LLC	FCM BD	CME	10/31/2024	1,739,926,133	571,195,998	1,168,730,135	3,963,479,915	3,533,164,521	430,315,394	280,000,000	415,895,980	327,385,317	88,510,663	20,000,000	2,251,886,634	1,870,744,770	381,141,864	140,000,000	
51 RJ OBRIEN ASSOCIATES LLC	FCM SD	CME/NFA		361,990,712	247,024,796	114,965,916	5,997,656,188	5,726,239,797	271,416,391	50,000,000	241,703,948	210,202,652	31,501,296	20,000,000	01 275 70-	0 700 070	0	1 000 000	<u> </u>
52 ROBINHOOD DERIVATIVES LLC 53 SANTANDER US CAPITAL MARKETS LLC	FCM FCM BD	NFA NYME		34,862,976 462,916,712	1,000,000 166,950,440	33,862,976 295,966,272	5,069,624 2,313,783,677	2,235,936,185	5,069,624 77,847,492	3,500,000	52	0	52	1	81,375,797	7 29,799,879	51,575,918	1,000,000	
54 SCOTIA CAPITAL USA INC	FCM BD	CME	10/31/2024	1.058.382.375	120,753,019	937,629,356	//۵٫۵۰٫۵۱رددرے ۱۸	0 (2,23,300,185	//,0 1 /,492	70,000,000	- u	0	<u> </u>	1	1) U	n	0	
55 SG AMERICAS SECURITIES LLC	FCM BD	CME	10/31/2024	5,556,209,592	1,970,880,024	3,585,329,568	20,257,362,451		505,378,559	200,000,000	7,784,901,892	7,396,067,134	388,834,758	200,000,000	1,687,728,195	1,465,647,203	222,080,992	80,000,000	
56 STONEX FINANCIAL INC	FCM BD	CME	10/31/2024 10/31/2024	439,239,981	255,228,617	184,011,364	5,940,064,011	5,865,964,425	74,099,586	50,000,000	236,494,102	223,211,980	13,282,122	6,000,000		0	0	0	
57 STRAITS FINANCIAL LLC	FCM			42,011,176	20,000,000	22,011,176	610,667,019	590,570,947	20,096,072	2,000,000	37,527,347	26,297,474	11,229,873	300,000	il c	0	1 0	0	55 052 250
58 TASTYFX LLC 59 TRADESTATION SECURITIES INC	RFED FCM BD	NFA CME	10/31/2024 10/31/2024	60,475,609 201,847,986	22,252,613 11,644,124	38,222,996 190,203,862	881,733,127	833,061,361	48,671,766	9,000,000	22,142,420	17,828,925	4,313,495	800,000) <u>0</u>	0	0	55,052,250
60 TRADING.COM MARKETS INC	RFED	NFA	10/31/2024	22,728,679	20,000,000	2,728,679	0	0	(0	0	0	0	0	i	0	Ö	Ö	1,828,469
61 UBS FINANCIAL SERVICES INC	FCM BD	NFA	10/31/2024	1,007,747,866	134,426,958	873,320,908	204,395,732	133,376,792	71,018,940	35,000,000	38,212,265	2,224,789	35,987,476	15,000,000	0	0	0	0	
62 UBS SECURITIES LLC	FCM BD FCM BD	CBOT	10/31/2024	3,580,086,465	1,024,723,616 7,321,876	2,555,362,849	7,520,858,459	7,219,067,278	301,791,181	150,000,000	1,676,411,692	1,551,250,642	125,161,050	75,000,000	1,237,310,301	1 1,010,098,509	227,211,792	125,000,000	
63 WEBULL FINANCIAL LLC 64 WEDBUSH SECURITIES INC	FCM BD FCM BD SD	NFA CBOT/NFA	10/31/2024	151,355,879 248,426,699	7,321,876 111,241,188	144,034,003 137,185,511	19,509,276 3,292,207,523	12,975,162 3,252,805,626	6,534,114 39,401,897	778,510	17.694.869	14.536.843	3.158.026	1,000,000	1 2) 0	0	0	
65 WELLS FARGO SECURITIES INC	FCM BD		10/31/2024	9.573.289.299	1.629.402.669	7.943.886.630	6.690.574.298	6.098.920.034	591.654.264	145.000,000	376.849.633	270.645.778	106.203.855	25,000,000	15 282 954 937	7 14.611.991.226	670.963.711	375 000 000	1
Totals	10.155	OI IL	10/01/2021	3/3/3/203/233	1/023/102/003	7 7 7 13 1000 1030	-,,,	275,012,154,810	,,		0.0,0.0,000	55,166,714,799					0.0,000,00	2,765,163,740	527,841,656
September Web Page Update	64																		
			1										·			1			<u> </u>
Additions	1		+		 			1								1	1		
F&O FINANCIAL LLC	1		1		 			1		1					1	1	1		
Deletions																<u> </u>			
None																			
Name Channel			1							1		1			1			<u> </u>	
Name Changes None																			
October Web Page Update	65		_									 		 				<u> </u>	

SELECTED FCM FINANCIAL DATA AS OF October 31, 2024 FROM REPORTS FILED BY December 11, 2024

(a): FCM: Futures Commission Merchant that is registered with the Commodity									
BD: The FCM is also registered with the Securities and Exchange Commiss									
RFED: Retail Foreign Exchange Dealer that is registered with the Common									
FCMRFD: The FCM is also registered with the Commodity Futures Trading	g Commission as a Retail Foreign Exchange Dealer.								
SD: The FCM or RFED is also registered with the Commodity Futures Trace	ding Commission as a provisionally registered swap dealer.								
(b): DSRO: Designated Self-Regulatory Organization. FCM/RFED firms that are	e dually registered as SDs have two DSROs listed if they have a different D	SRO for the FCM/RFED a	and the SD. The DSR	RO for the FCM/RFED is listed first and the	DSRO for the SD is liste	ed second.			
(c): A firm's net capital requirement is the greater of:									
FCM minimum dollar amount (\$1,000,000); or									
 risk based capital requirement, the sum of 8% of total customer risk mainten. 									
 the amount of capital required by a registered futures association (currently N 									
 for securities brokers and dealers, the amount of net capital required by Rule 	2 15c3-1(a) of the Securities and Exchange Commission; or								
minimum dollar amount for FCM's offering or engaged in retail forex transacti minimum dollar amount for FCM's offering or engaged in retail forex transacti	tions and RFEDs (\$20,000,000); or								
 minimum dollar amount for FCM's offering or engaged in retail forex transacti 	ions and RFEDs (\$20,000,000) plus five percent of the FCM's or RFED's to	tal retail forex obligation	in excess of \$10,000	0,000.					
(d): Excess net capital is adjusted net capital, less the firm's net capital require	ement.								
(e): This represents the total amount of money, securities, and property held in	n segregated accounts for futures and options customers in compliance wi	th Section 4d(a)(2) of the	e Commodity Exchar	nge Act.					
(f): This represents the total amount of funds that an FCM is required to segred	gate on behalf of customers who are trading on a designated contract man	ket. This is the sum of a	all accounts that cont	tain a net liquidating equity.					
(g): Excess/Deficient funds in segregation is customer assets in segregation, le	ess the customer segregation requirement.								
(h): This represents the targeted excess of proprietary funds deposited into customers.	stomer segregated accounts above the customer segregation requirement	 This target amount is s 	set by the firm.						
(i): This represents the total amount of money, securities, and property held in	n secured accounts for futures and options customers who trade on common	odity exchanges located of	outside the United St	tates in compliance with Part 30 of the Con	mission's regulations.				
(j): This represents the amount of funds an FCM is required to set aside for cus	stomers who trade on commodity exchanges located outside of the United	States.							
(k): Excess/Deficient funds in separate Section 30.7 accounts is funds in separate	ate Section 30.7 accounts, less the customer amount Part 30 requirement.								
(I): This represents the targeted excess of proprietary funds deposited into sep	parate Section 30.7 accounts above the customer amount Part 30 requirem	ent. This target amount	is set by the firm.						
(m): This represents the total amount of money, securities, and property held i	in cleared swap customer accounts for cleared swap customers in complia	nce with Section 4d(f) of	the Commodity Excl	hange Act.					
(n): This represents the amount of funds an FCM is required to segregate for co	sustomers who trade cleared swaps.								
(o): Excess/Deficient funds in cleared swap customer accounts is funds in separate	rate cleared swap segregation accounts, less the cleared swap customer s	egregation requirement.							
(p): This represents the targeted excess of proprietary funds deposited into se	eparate cleared swap segregation accounts above the cleared swap custom	ner segregation requireme	ent. This target amo	ount is set by the firm.					
(a): This represents the total amount of funds at an FCM, RFED, or FCMRFD the	nat would be obtained by combining all money, securities and property dec	osited by a retail forex co	ustomer into a retail	forex account or accounts, adjusted for th	e realized and unrealize	ed net profit or loss.		1	