Futures Commission Merchant / Retail Foreign Exchange Dealer	Registered As	DSRO	As of Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital	Customers' Assets in Seg	Customers' Seg Required 4d(a)(2)		Target Residual Interest in Seg	Funds in Separate Section 30.7 Accounts	Customer Amount Pt. 30 Required	Excess/Deficient Funds in Separate Section 30.7 Accounts	Target Residual Interest in Section 30.7	Funds in Separate Cleared Swap Segregation	Customer Amount Cleared Swap Seg Required	Excess/Deficient Funds in Cleared Swap Seg Accounts	Target Residual Interest in Cleared Swap Seg	Total Amount of Retail Forex Obligation
	(a)	(b)			(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(1)	(m)	(n)	(0)	(p)	(q)
ABN AMRO CLEARING USA LLC	FCM BD	CBOT	11/30/2024	796,932,068	265.863.388	531.068.680	5,100,613,750	4.020,701,738	1,079,912,012	350,000,000	245,377,301	211,407,900	33,969,401	15,000,000	0	0	0	0	
ADM INVESTOR SERVICES INC	FCM	CBOT	11/30/2024	454,048,451	314,601,947	139,446,504	7,567,174,958	7,284,627,779	282,547,179	120,000,000	320,458,718	274,365,048	46,093,670	20,000,000	10,866,473	0	10,866,473	8,000,000	
ADVANTAGE FUTURES LLC	FCM		11/30/2024	37,156,896	14,349,983	22,806,913	518,072,834		16,641,032	8,000,000	103,614,169	98,736,939	4,877,230	1,000,000	0	0	0	0	
AMP GLOBAL CLEARING LLC	FCM		11/30/2024	16,060,007	1,000,000	15,060,007	86,732,764	70,732,660	16,000,104		2,048,470	1,632,197	416,273	150,000	0	0	0	0	
APEX CLEARING CORPORATION	FCM BD		11/30/2024	445,612,804	38,792,503	406,820,301	194,996,584	167,518,186	27,478,398	10,000,000	0	0	0	0	0	0	0	0	
BARCLAYS CAPITAL INC BITNOMIAL CLEARING LLC	FCM BD FCM	NYME	11/30/2024 11/30/2024	6,726,162,806 1,590,560	2,751,656,559 1,000,000	3,974,506,247 590,560	15,680,692,125 991,543	15,219,139,423 460,717	461,552,702 530,826	330,000,000 100,000	5,373,113,857	5,119,141,010	253,972,847	100,000,000	16,797,172,556	16,137,451,251	659,721,305	150,000,000	
BNP PARIBAS SECURITIES CORP	FCM BD		11/30/2024	2,411,789,812	1,000,000	1,294,482,508	8,346,671,848	7,355,193,234	530,826 991,478,614		1,999,401,671	1,196,076,638	803,325,033	210,000,000	3,084,061,077	2,730,163,569	353,897,508	135,000,000	
BOFA SECURITIES INC	FCM BD	CBOT	11/30/2024	20.776.925.016	4.546.601.464	16,230,323,552	27,418,819,396	26,361,281,775	1.057.537.621	525.000.000	5,296,330,660	5.024.793.430	271,537,230	150,000,000	18.830.242.638	18,454,710,303	375,532,335	150,000,000	
CANTOR FITZGERALD & CO	FCM BD		11/30/2024	323,738,692	2,819,839	320,918,853	5,428,449	0	5,428,449	3,000,000	0	0	0	0	0	0	0,5,552,555	0	
CHARLES SCHWAB FUTURES AND FOREX LLC	FCM	NFA	11/30/2024	317,366,317	47,404,234	269,962,083	910,844,902	748,532,593	162,312,309	100,000,000	0	0	0	0	0	0	0	0	64,435,5
CHS HEDGING LLC	FCM		11/30/2024	85,773,429	11,806,713	73,966,716	136,458,927	73,598,651	62,860,276	24,300,000	1,617,003	0	1,617,003	200,000	540,004	0	540,004		
CITIGROUP GLOBAL MARKETS INC	FCM BD SD	CBOT/NFA		16,881,181,930	4,828,936,232	12,052,245,698	17,861,027,140	17,290,151,230	570,875,910	389,200,000	4,712,816,131	4,140,050,386	572,765,745	163,100,000	30,524,130,857	29,874,277,468	649,853,389	447,300,000	
CLEAR STREET LLC COINBASE FINANCIAL MARKETS INC	FCM BD FCM		11/30/2024	517,233,285	48,506,074 1,822,417	468,727,211 19,996,882	632,859,738	620,087,889	12,771,849	3,500,000 5,662,343	0	0	0	0	0	0	0	0	
CREDIT SUISSE SECURITIES (USA) LLC	FCM BD		11/30/2024 11/30/2024	21,819,299 2,200,113,095		2.198.613.095	69,716,833	56,623,431	13,093,402	5,002,343	0	0	0	0	0	0	<u> </u>	0	
DAIWA CAPITAL MARKETS AMERICA INC	FCM BD	CME	11/30/2024	1,324,444,062	1,500,000 2,405,836	1,322,038,226	3,534,406	0	3,534,406	1	1,509,139	0	1,509,139	1	0	0	0	0	
DEUTSCHE BANK SECURITIES INC	FCM BD	CBOT	11/30/2024	3,325,271,304	319,692,403	3,005,578,901	3,219,670,405	2,928,284,813	291,385,592	100,000,000	1,623,269,027	1,342,441,154	280,827,873	50,000,000	5,100,100	Ö	5,100,100	1,000,000	
DIRECT ACCESS USA LLC	FCM	CME	11/30/2024	19,067,723	3,119,338	15,948,385	92,797,405	85,176,871	7,620,534	2,500,000	2,574,426	1,038,462	1,535,964	1,000,000	0	0	0	0	
DORMAN TRADING LLC	FCM		11/30/2024	29,009,004	5,028,173	23,980,831	370,916,348	357,570,487	13,345,861	2,000,000	2,915,963	1,813,701	1,102,262	200,000	0	0	0	0	
ETRADE FUTURES LLC	FCM	NFA	11/30/2024	66,460,695	4,306,528	62,154,167	134,592,623	75,119,817	59,472,806	11,000,000	0	0	0	0	0	0	0	0	
F&O FINANCIAL LLC FUTU FUTURES INC	FCM FCM	NFA NFA	11/30/2024	2,372,502	1,000,000	1,372,502 1,003,013	0	0	0	0	0	0	0	0	0	0	0	0	
GAIN CAPITAL GROUP LLC	FCM FCM RFED		11/30/2024 11/30/2024	2,003,013 50,964,853	1,000,000 29,730,661	1,003,013 21,234,192	0	0	0	0	0	0	0	0	0	0	0	0	204,613,2
GH FINANCIALS LLC	FCM RFED FCM	CME	11/30/2024	31,437,589	15,559,806	15,877,783	266,524,568	251,051,951	15,472,617	6,100,000	17,292,648	14,633,887	2,658,761	350 000	, U	0	0	U 0	204,013,2
GOLDMAN SACHS & CO LLC	FCM BD SD	CBOT/NFA	11/30/2024	20,516,827,556	5,626,282,676	14,890,544,880	38,740,599,522	37,731,130.006	1,009,469,516	775,000,000	10,138,351,697	9,532,156,459	606,195,238	475,000,000	22,813,539,325	21,999,380,808	814,158,517	350,000,000	
HIDDEN ROAD PARTNERS CIV US LLC	FCM	CME	11/30/2024	31,642,409	8,123,275	23,519,134	223,767,697	37,731,130,006 202,706,837	21,060,860	8,900,000	0	0	0	0	0	0	0	0	
HSBC SECURITIES USA INC	FCM BD	CME	11/30/2024	1,262,049,596	417,212,128	844,837,468	4,281,223,687	4,178,583,363	102,640,324	90,000,000	244,017,116	204,313,483	39,703,633	15,000,000		1,706,025,628	103,402,272	82,000,000	
INTERACTIVE BROKERS LLC	FCM BD		11/30/2024	9,069,531,391	1,215,430,403	7,854,100,988	8,236,655,830	7,989,781,570	246,874,260	155,000,000	801,158,792	677,782,473	123,376,319	80,000,000	220,953,579	213,135,219	7,818,360	2,000,000	25,592,5
IRONBEAM INC	FCM	CME	11/30/2024	17,328,105	4,764,745	12,563,360	160,751,447	145,127,578	15,623,869	1,600,000	774,891	332,189	442,702	60,000	0	0	0	0	
JEFFERIES LLC JP MORGAN SECURITIES LLC	FCM BD FCM BD SD		11/30/2024 11/30/2024	2,023,201,108 24,144,434,138	139,031,008 6,003,313,103	1,884,170,100 18,141,121,035	46,864,350,594	45,195,436,960	1,668,913,634	1,039,495,050	7,742,133,492	7,252,651,923	489,481,569	290,106,077	22,937,352,608	21,938,325,606	999,027,002	592,334,791	
LIME TRADING CORP	FCM BD	NFA	11/30/2024	11,609,258	1.000.000	10,141,121,033	46,004,330,394	45,195,436,960	46.094	20.000	40.010	7,232,031,923	40.010	290,100,077	22,937,332,000	21,930,323,000	999,027,002	392,334,/91	
MACQUARTE FUTURES USA LLC	FCM	CBOT	11/30/2024	481,725,724	343,209,797	138,515,927	4,041,316,874	3,910,625,254	130.691.620	85,000,000	553,711,168	513,377,767	40,333,401	7.000.000	660.050	0	660,050	250,000	
MAREX CAPITAL MARKETS INC	FCM BD SD	CME/NFA	11/30/2024	602,141,643	419,558,276	182,583,367	8,499,718,011		135,902,923	75,000,000	219,575,650	194,391,473	25,184,177	8,000,000	17,069,196	12,026,944	5,042,252	2,000,000	
MCVEAN TRADING & INVESTMENTS LLC	FCM	NFA	11/30/2024	13,787,442	2,143,127	11,644,315	382,667,606	359,793,080	22,874,526	18,000,000	2,857,561	0	2,857,561	1,000,000	0	0	0	0	
MFI FUNDING LLC	FCM	NFA	11/30/2024	115,675,299	1,000,000	114,675,299	0	0	0	0	0	0	0	0	0	0	0	0	
MID CO COMMODITIES INC	FCM		11/30/2024	16,509,286	4,541,626	11,967,660	47,099,316	29,440,372	17,658,944	5,138,000	0	0	0	0	0	0	0	0	
MINT BROKERS MIZUHO SECURITIES USA LLC	FCM BD FCM BD	NFA CMF	11/30/2024	2,252,214 1,361,583,644	1,000,000 510,660,614	1,252,214 850,923,030	8,208,136,831	7.832.006.345	376,130,486	150,000,000	1 525 050 706	1 242 210 205	182,639,501	70,000,000	22.337.287	0	22 227 207	10,000,000	
MORGAN STANLEY & CO LLC	FCM BD SD		11/30/2024 11/30/2024	18.126.099.578	4 757 845 642	13,368,253,936	32,091,482,719	31.547.458.599	544,024,120	235,000,000	1,525,858,796 8 693 661 132	1,343,219,295 8,490,571,692	203,089,440	140 000 000		28,187,705,545	22,337,287 479,737,813	10,000,000 92,000,000	
NANHUA USA LLC	FCM	CME	11/30/2024	56,839,446	17,735,485	39,103,961	443,824,946	397,916,966	45,907,980	3,500,000	360,863	1.086	359,777	100,000		20,107,703,313	0.000	32,000,000	
NATWEST MARKETS SECURITIES INC	FCM BD		11/30/2024	611,363,512	1,439,997	609,923,515	7,500,621	0	7,500,621		0	0	0	0	Ö	Ö	0	0	
NINJATRADER CLEARING LLC	FCM	NFA	11/30/2024	30,186,200 1,977,419,544	1,000,000	29,186,200	7,500,621 288,880,384	256,712,216	32,168,168	5,000,000 4,000,000	2,778,879	1,353,779	1,425,100	400,000	0	0	0	0	
NOMURA SECURITIES INTERNATIONAL INC	FCM BD		11/30/2024		190,724,089	1,786,695,455	149,462,336	108,296,470	41,165,866	15,000,000	1,250,000	0	1,250,000	1,000,000	0	0	0	0	
OANDA CORPORATION	FCMRFD	NFA	11/30/2024	60,753,389	28,228,924	32,524,465	0	0	0	0	0	0	0	0	0	. 0	0	0	170,380,8
PHILLIP CAPITAL INC PICTET OVERSEAS INC	FCM BD FCM BD	CME NFA	11/30/2024 11/30/2024	121,324,530 38,462,065	50,751,954 1,000,000	70,572,576 37,462,065	950,563,522 10,511,891	897,618,281 3,883,262	52,945,241 6,628,629	17,000,000 1,500,000	37,068,189 14,168,231	30,930,121 9,967,041	6,138,068 4,201,190	1,000,000	0	0	0	0	
PLUS500US FINANCIAL SERVICES, LLC	FCM BD	CBOT	11/30/2024	122,162,461	10,031,547	112,130,914	463,938,894	356,599,168	107,339,726	4,900,000	1,537,917	516,450	1,021,467	100,000	0	0	0	0	
RBC CAPITAL MARKETS LLC	FCM BD	CME	11/30/2024	2,039,200,908	622,371,707	1,416,829,201	4,137,613,765	3,675,418,436	462,195,329	280,000,000	511.670.525	407,314,733	104,355,792	40,000,000	2,158,676,921	1,775,198,994	383,477,927	140,000,000	1
RJ OBRIEN ASSOCIATES LLC	FCM SD	CME/NFA	11/30/2024	365,505,344	248,083,006	117,422,338	5,861,150,712	5,579,467,431	281,683,281	50,000,000	245,464,157	215,546,003	29,918,154	20,000,000	0	0	0	0	<u> </u>
ROBINHOOD DERIVATIVES LLC	FCM	NFA		39,789,453	1,000,000	38,789,453	5,075,297	0	5,075,297	3,500,000	52	0	52	1	144,964,518	94,314,262	50,650,256	1,000,000	
SANTANDER US CAPITAL MARKETS LLC	FCM BD	NYME	11/30/2024	465,902,729	175,234,708	290,668,021	2,465,302,191	2,385,956,450	79,345,741	70,000,000	1,000,000	0	1,000,000	1,000,000	0	0	0	0	
SCOTIA CAPITAL USA INC SG AMERICAS SECURITIES LLC	FCM BD FCM BD	CME CME	11/30/2024 11/30/2024	1,208,760,838 5,579,442,267	129,387,260 1,928,665,142	1,079,373,578 3,650,777,125	19.824.407.394	19.364.358.753	460.048.641	200,000,000	7.964.509.991	7.561.744.747	402,765,244	200,000,000	1 743 305 210	1,523,190,028	220.115.182	000,000,000	
SG AMERICAS SECURITIES LLC STONEX FINANCIAL INC	FCM BD FCM BD	CME	11/30/2024	5,579,442,267 470,050,470	1,928,665,142 293,485,233	3,650,777,125 176,565,237	19,824,407,394 6,716,069,216	19,364,358,753	460,048,641 73,621,754	50,000,000	7,964,509,991 244,169,745	7,561,744,747 231,237,758	402,765,244 12,931,987	200,000,000	1,/43,305,210	1,523,190,028	220,115,182 n	80,000,000	1
STRAITS FINANCIAL LLC	FCM	CME	11/30/2024	42,587,527	20,000,000	22,587,527	608,570,584	587,514,018	21,056,566	2,000,000	29,660,538	18,290,541	11,369,997	300,000	0	0	0	0	
TASTYFX LLC	RFED		11/30/2024	62,199,716	21,639,797	40,559,919	0.00,570,504	0.000	1,030,300	2,000,000	25,000,530	10,230,341	11,303,337	300,000	1 0	0	0	0	42,795,9
TRADESTATION SECURITIES INC	FCM BD	CME	11/30/2024	208,886,501	11,132,884	197,753,617	929,756,241	876,123,493	53,632,748	9,000,000	17,879,211	13,599,810	4,279,401	800,000	0	0	0	0	
TRADING.COM MARKETS INC	RFED	NFA	11/30/2024	22,398,982 1,178,284,794	20,000,000	2,398,982 1.041,220,422	0	0	0	0	0	0	0	0	0	0	0	0	1,917,6
UBS FINANCIAL SERVICES INC	FCM BD		11/30/2024		137,064,372		231,667,772	138,377,208	93,290,564		38,251,072	2,256,484	35,994,588	15,000,000	0	0	0	0	
UBS SECURITIES LLC WEBUIL FINANCIAL LLC	FCM BD FCM BD	CBOT NFA	11/30/2024 11/30/2024	3,742,048,949 154,125,093	1,075,027,377 8,583,681	2,667,021,572 145,541,412	8,257,997,503 22,326,180	7,955,157,465 15,440,763	302,840,038 6,885,417	150,000,000 926,446	1,926,795,925	1,798,218,050	128,577,875	75,000,000	1,188,215,681	959,158,557	229,057,124	125,000,000	-
WEBULL FINANCIAL LLC WEDBLISH SECURITIES INC			11/30/2024	264,691,545	71.626.098	145,541,412	3,241,767,149	3,203,224,449	38.542.700	926,446 25,000,000	17,330,751	14,286,803	3.043.948	1.000.000	0	0	0	0	1
WELLS FARGO SECURITIES INC	FCM BD 3D		11/30/2024	8.995.360.140	1,736,841,441	7.258.518.699	7,536,892,868	6,922,002,047	614,890,821	145,000,000	371.870.801	266,421,542	105,449,259	25,000,000	16.107.630.397	15.092.650.603	1.014.979.794	475,000,000	
Totals			.,,	-,,3/110	_,,,, ,,,	. ,,	302,550,233,240	290,319,704,437						2,184,786,079	167,083,689,735	160,697,714,785		2,843,004,791	509,735,70
October Web Page Update	65								,,,	2,2.2/, 12/0 10		, ,	.,,,		,,,	,,,	-,,,-,		220,700,71
Additions																			
None		_																	
Delektore																			
Deletions	-									1				<b> </b>	-	1		<b> </b>	-
None														<del>                                     </del>		<del>                                     </del>		l	<b>-</b>
Name Changes														<b> </b>		1		1	<b>†</b>
None										1				1		1		1	
None																			

## SELECTED FCM FINANCIAL DATA AS OF November 30, 2024 FROM REPORTS FILED BY January 6, 2025

(a): FCM: Futures Commission Merchant that is registered with the Commodity Futures Trad													
BD: The FCM is also registered with the Securities and Exchange Commission as a securities broker or dealer.													
RFED: Retail Foreign Exchange Dealer that is registered with the Commodity Futures Trading Commission.													
FCMRFD: The FCM is also registered with the Commodity Futures Trading Commission	s a Retail Foreign	Exchange Dealer.											
SD: The FCM or RFED is also registered with the Commodity Futures Trading Commissi	n as a provisiona	ly registered swap de	aler.										
		,											
(b): DSRO: Designated Self-Regulatory Organization. FCM/RFED firms that are dually registed	red as SDs have t	vo DSROs listed if the	v have a different DSRO for	the FCM/RFED and the SD. The	DSRO for the FCM/RFED	is listed first and the D	SRO for the SD is liste	ed second.					
				,									
(c): A firm's net capital requirement is the greater of:													
FCM minimum dollar amount (\$1,000,000); or													
<ul> <li>risk based capital requirement, the sum of 8% of total customer risk maintenance margin a</li> </ul>	nd 8% of total no	n-customer risk maint	enance margin; or										
<ul> <li>the amount of capital required by a registered futures association (currently NFA is the only</li> </ul>	such association)	; or											
<ul> <li>for securities brokers and dealers, the amount of net capital required by Rule 15c3-1(a) of</li> </ul>	he Securities and	Exchange Commission	n; or										
<ul> <li>minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFED</li> </ul>	s (\$20,000,000);	or											
<ul> <li>minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFED</li> <li>minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFED</li> </ul>	s (\$20,000,000) p	us five percent of the	FCM's or RFED's total retail	forex obligation in excess of \$10	,000,000.								
(d): Excess net capital is adjusted net capital, less the firm's net capital requirement.													
(e): This represents the total amount of money, securities, and property held in segregated a	ccounts for future	and options custome	ers in compliance with Section	n 4d(a)(2) of the Commodity Exc	change Act.								
(f): This represents the total amount of funds that an FCM is required to segregate on behalf	of customers who	are trading on a desi	gnated contract market. Thi	s is the sum of all accounts that	contain a net liquidating	equity.							
<ul> <li>(q): Excess/Deficient funds in segregation is customer assets in segregation, less the custom</li> </ul>	er segregation reg	irement.											
(h): This represents the targeted excess of proprietary funds deposited into customer segreg	ated accounts abo	ve the customer sear	egation requirement. This ta	rget amount is set by the firm.									
(i): This represents the total amount of money, securities, and property held in secured acco	ints for futures an	d options customers v	who trade on commodity exc	hanges located outside the Unite	d States in compliance wi	th Part 30 of the Comn	nission's regulations.						
(j): This represents the amount of funds an FCM is required to set aside for customers who t	ade on commodit	exchanges located of	outside of the United States.										
and the second of the second o			Jan States										
(k): Excess/Deficient funds in separate Section 30.7 accounts is funds in separate Section 30.	7 accounts, less t	e customer amount F	Part 30 requirement.										
(I): This represents the targeted excess of proprietary funds deposited into separate Section	30.7 accounts abo	ve the customer amou	unt Part 30 requirement. Thi	s target amount is set by the firm	n.	1	1						
Try that a separate section	T. IIII GOO		The state of the s										
(m): This represents the total amount of money, securities, and property held in cleared swa	customer accour	ts for cleared swap o	ustomers in compliance with	Section 4d(f) of the Commodity	Exchange Act.								
The second of th		L L.LL. Cd Snap C	The state of the s										
(n): This represents the amount of funds an FCM is required to segregate for customers who	trade cleared swa	ns.				1	1						
The second of th						1	1						
(o): Excess/Deficient funds in cleared swap customer accounts is funds in separate cleared st	van segregation a	counts, less the clear	ed swap customer segregati	on requirement.		1	1						
(o) Excess/Seriacite rands in decared strap customer accounts is failed in separate circules s	Top segregation a	course, iess the deal	ca smap castorner segregati	on requirement		1							
(p): This represents the targeted excess of proprietary funds deposited into separate cleared	swan segregation	accounts above the	cleared swan customer segre	gation requirement. This target	amount is set by the firm								
1777 This represents the targetest excess of proprietary funds deposited into separate electric	Sirap segregation	accounts above the	Cicarca Strap customer segre	agadon regaliencine i i i i i i i i i i i i i i i i i i	amount is set by the firm		1						
(g): This represents the total amount of funds at an FCM, RFED, or FCMRFD that would be of	tained by combin	ng all money securiti	es and property denosited by	v a retail forex customer into a re	etail forex account or acco	unts adjusted for the	realized and unrealize	d net profit or loss					
The rest course are total amount of railes at an i Cit, M ED, of i CitAl D triat Would be o	CONTICUION CONTIDIN	THE SHITTING TO SECURIT						o necessations of 1000s					