

Futures Industry Registrants by Location as of September 30, 2000

Location	Floor Brokers	Floor Traders	Associated Persons*	FCMs**	Guaranteed IBs**	Non-Guar. IBs**	CTAs**	CPOs**	Principals*	Branches
Alabama	3	4	316	0	7	0	5	3	30	54
Alaska	1	0	58	0	3	0	3	4	8	10
Arizona	8	6	523	0	13	1	27	8	44	71
Arkansas	4	3	261	1	16	1	13	1	55	41
California	29	10	5,671	7	108	43	271	130	1,200	538
Caribbean Islands	0	0	0	0	0	0	0	1	0	0
Colorado	11	3	650	0	28	8	47	19	136	101
Connecticut	96	2	1,207	7	2	26	125	128	386	92
D.C.	0	0	81	0	0	2	5	5	10	3
Delaware	2	0	107	0	1	0	1	3	6	9
Florida	61	10	3,051	7	117	40	164	65	458	357
Georgia	5	4	776	1	22	6	42	14	116	95
Guam	0	0	2	0	0	0	0	0	1	0
Hawaii	2	0	168	0	0	1	6	1	11	21
Idaho	2	0	105	0	11	0	5	1	17	22
Illinois	5,965	1,089	4,315	71	167	67	467	208	1,736	355
Indiana	95	20	554	1	37	3	19	13	111	84
Iowa	7	2	572	4	82	10	47	17	256	118
Kansas	71	3	413	0	45	1	13	1	151	74
Kentucky	0	2	207	0	5	3	7	3	18	36
Louisiana	0	2	347	0	4	1	11	3	31	63
Maine	0	0	51	0	0	0	3	0	7	12
Maryland	2	1	484	1	4	3	27	12	89	57
Massachusetts	7	5	1,020	2	4	2	54	43	267	83
Michigan	17	3	671	0	15	1	33	7	82	118
Minnesota	118	3	755	5	45	4	29	18	167	115
Mississippi	0	1	121	0	2	1	3	1	11	25
Missouri	74	5	664	4	41	4	35	12	165	90
Montana	2	0	74	0	12	0	1	1	15	16
Nebraska	2	0	338	0	59	2	19	5	119	65
Nevada	11	2	243	1	7	1	33	13	43	26

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New Hampshire	5	1	114	0	0	1	4	3	12	18
New Jersey	849	59	3,117	2	14	21	149	94	829	215
New Mexico	3	3	154	0	6	0	6	2	19	18
New York	1,537	113	7,184	80	41	85	462	482	1,880	337
North Carolina	3	1	619	2	16	6	23	9	96	97
North Dakota	1	0	94	0	14	1	2	0	30	29
Ohio	4	1	878	0	24	3	31	10	129	150
Oklahoma	1	1	360	0	24	0	13	3	50	53
Oregon	2	0	363	0	20	0	21	2	53	37
Pennsylvania	56	7	1,126	1	10	2	34	18	151	144
Puerto Rico	1	0	30	0	1	0	1	0	5	5
Rhode Island	1	1	71	0	1	1	1	0	9	16
South Carolina	4	1	284	0	7	3	12	6	27	53
South Dakota	0	0	129	0	23	0	4	1	46	41
Tennessee	7	3	684	3	20	9	44	26	154	71
Texas	14	5	2,493	1	73	19	116	58	345	297
Utah	1	1	182	0	1	1	10	7	26	29
Vermont	4	0	79	0	1	0	3	0	3	11
Virgin Islands	0	0	0	0	0	0	0	0	1	0
Virginia	4	2	692	0	12	8	41	25	133	97
Washington	1	2	594	0	8	2	26	19	76	93
West Virginia	0	0	71	0	0	0	1	0	4	21
Wisconsin	55	9	495	1	14	5	24	9	93	87
Wyoming	2	1	26	0	3	0	3	1	8	8
Total U.S.	9,150	1,391	43,644	202	1,190	398	2,546	1,515	9,925	4,678
Total Foreign	87	17	2,056	1	4	3	252	109	746	55
Total Registered	9,237	1,408 ***	45,700	203	1,194	401	2,798	1,624	10,671	4,733

*Although associated persons and principals may be affiliated with more than one firm, they are counted once at a single location.

**A Firm registered in more than one category is counted in each category.

***An additional 5 are authorized to continue to act as floor traders pending review of their application.