




## U.S. COMMODITY FUTURES TRADING COMMISSION

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Office of the  
Inspector General

December 21, 2017

TO: Chairman J. Christopher Giancarlo  
Commissioner Brian D. Quintenz  
Commissioner Rostin Behnam

FROM: A. Roy Lavik   
Inspector General

SUBJECT: FY 2019 OIG Budget

In accordance with the IG Act, I am notifying you of the requested budget for Fiscal Year 2019 to operate my office. OIG activities include audits, investigations, reviews, inspections, and other activities evaluating the operations and programs of the Commission. Such activities assist in improving the economy, efficiency, and effectiveness of operations, as well as in detecting and preventing fraud, waste, and mismanagement. We are requesting \$2.8 million, which equals our FY 2018 request (\$2.8 million), with some shifting of costs. Of this amount, \$20,000 is budgeted for training purposes and will satisfy all training requirements for my Office.

In addition to funding training, travel, contracted audits and services, and all other OIG activities including salaries and benefits, the request also includes the Inspector General's contribution to the Council of the Inspectors General on Integrity and Efficiency (CIGIE) as required by the IG Act.

This budget request does not include funds for Agency-wide overhead. At this time, OIG has not been notified of CFTC's expected overhead charge to OIG for Fiscal Year 2019. Our intent is to submit an OIG budget request that is solely for funds under the control of, and to be spent directly by, the Office of the Inspector General. We believe this avoids confusion and reserves to the Agency maximum flexibility to manage Agency-wide overhead, while ensuring compliance with the Inspector General Act. It is imperative that **CFTC overhead be added to the OIG operating budget** (and not subtracted from it).

Attachment

Attachment for CFTC Inspector General's Budget Request:

<b>Direct Costs (\$)</b>	<b>OIG Request</b>
Salaries and Benefits	2,400,000
Travel	52,000
Training	20,000
Contract Audits	215,840
Contract Services	106,000
<b>Subtotal Direct Costs</b>	<b>\$ 2,793,840</b>
CIGIE Contribution (estimate of .22% of total)	6,160
<b>Total Direct OIG Costs</b>	<b>\$ 2,800,000</b>
FTE	9.5
Positions	10