

Commodity Futures Trading Commission  
CEA CASES

**NAME:** ROCCO G. CANCELLARE

**DOCKET NUMBER:** 169

**DOCUMENT TYPE:** ISSUANCE OF COMPLAINT

UNITED STATES DEPARTMENT OF AGRICULTURE

Commodity Exchange Authority

Washington, D.C. 20250

ISSUANCE OF COMPLAINT - CEA DOCKET NO. 169

(Administrative Hearing Under the Commodity Exchange Act)

A complaint and notice of hearing has been signed by the Assistant Secretary of Agriculture charging violation of the Commodity Exchange Act by:

Rocco G. Cancellare

(New York, New York)

The complaint charges that the respondent, while acting as a floor broker, deceived, cheated and defrauded persons whom he represented. The complaint alleges that during the period December 17 through 23, 1968, there was a shortage of frozen concentrated orange juice futures available for purchase on the Citrus Associates of the New York Cotton Exchange, Inc., due to maximum price limitations of exchange rules. As a result, trading was conducted by the exchange allocating sell orders to each floor broker seeking to purchase. On each day during the period Mr. Cancellare had accepted and held for his principals market orders to purchase orange juice futures. When he was allocated the sell orders instead of assigning all such purchases to the orders he held for his principals, he took some into his own account.

The issuance of a complaint under the Commodity Exchange Act does not constitute proof of violation of the law. Such violation is established only when the Judicial Officer of the Department has made a determination that the evidence substantiates allegations in the complaint. Complaints are filed in the Office of the Hearing Clerk of the Department of Agriculture and are a matter of public record. Pending disposition by the Judicial Officer, it is the policy of the Commodity Exchange Authority not to comment or elaborate on charges contained in complaints or to discuss evidence on which they are based.

A public hearing on the complaint is scheduled to be held before a referee at 10:00 a.m., local time, May 12, 1970, in New York, New York.

**LOAD-DATE:** June 16, 2008

