

Commodity Futures Trading Commission  
CEA CASES

**NAME:** STUART GIMBEL AND GROW INVESTMENTS

**DOCKET NUMBER:** 228

**DOCUMENT TYPE:** ISSUANCE OF COMPLAINT

UNITED STATES DEPARTMENT OF AGRICULTURE

Commodity Exchange Authority

Washington, D.C. 20250

ISSUANCE OF COMPLAINT -- CEA DOCKET NO. 228

(Administrative Hearing Under the Commodity Exchange Act)

A complaint and notice of hearing has been signed by the Assistant Secretary of Agriculture charging violation of the Commodity Exchange Act by:

Stuart Gimbel and Grow Investments

(Chicago, Illinois)

The complaint charges that on a number of days during December 1969, January through March 1970, and June through November 1972, Stuart Gimbel, aided and abetted by Grow Investments, of which he was a partner, violated the speculative trading limits in shell egg futures contracts. It also charges that in connection with such trading Mr. Gimbel failed to file required reports and filed false reports.

The issuance of a complaint under the Commodity Exchange Act does not constitute proof of violation of the law. Such violation is established only when there has been a determination that the evidence substantiates allegations in the complaint. A determination is considered as having been made when a decision of the Administrative Law Judge becomes final or, after appeal, a decision is made by the Judicial Officer of the Department. Complaints are filed in the Office of the Hearing Clerk of the Department of Agriculture and are a matter of public record. Pending disposition of a complaint, it is the policy of the CEA not to comment or elaborate on charges contained therein or to discuss evidence on which it is based.

A public hearing on the complaint will be held before the Administrative Law Judge in Chicago, Illinois, at a date and time to be specified at a later date.

**LOAD-DATE:** June 16, 2008

