

Commodity Futures Trading Commission
CEA CASES

NAME: JOHN S. MORRIS, EVERETT E. KLIPP, AND JOHN S. MORRIS AND CO., INC.

DOCKET NUMBER: 205

DOCUMENT TYPE: ISSUANCE OF COMPLAINT

UNITED STATES DEPARTMENT OF AGRICULTURE

Commodity Exchange Authority

Washington, D. C. 20250

ISSUANCE OF COMPLAINT - CEA DOCKET NO. 205

(Administrative Hearing Under the Commodity Exchange Act)

A complaint and notice of hearing has been signed by the Assistant Secretary of Agriculture, charging violation of the Commodity Exchange Act by:

John S. Morris,

Everett E. Klipp, and

John S. Morris and Co., Inc.

(Chicago, Illinois)

The complaint charges that during 1971, the respondents accepted a stock certificate from Mr. Charles E. Evans, Jr., with the understanding that such stock would constitute the margin for Mr. Evans' futures trading. Thereafter, without notice, they closed out his position in the futures market on the basis that such stock was not acceptable as margin.

The issuance of a complaint under the Commodity Exchange Act does not constitute proof of violation of the law. Such violation is established only when the Judicial Officer of the Department has made a determination that the evidence substantiates allegations in the complaint. Complaints are filed in the Office of the Hearing Clerk of the Department of Agriculture and are a matter of public record. Pending disposition by the Judicial Officer, it is the policy of the Commodity Exchange Authority not to comment or elaborate on charges contained in complaints or to discuss evidence on which they are based.

A public hearing on the complaint will be held before a referee in Chicago, Illinois, at a date and time to be specified at a later date.

LOAD-DATE: June 16, 2008

