

Commodity Futures Trading Commission
CEA CASES

NAME: HENRY S. SHATKIN

DOCKET NUMBER: 211

DOCUMENT TYPE: ISSUANCE OF COMPLAINT

UNITED STATES DEPARTMENT OF AGRICULTURE

Commodity Exchange Authority

Washington, D. C. 20250

ISSUANCE OF COMPLAINT - CEA DOCKET NO. 211

(Administrative Hearing Under the Commodity Exchange Act)

A complaint and notice of hearing has been signed by the Assistant Secretary of Agriculture, charging violation of the Commodity Exchange Act by:

Henry S. Shatkin

(Chicago, Illinois)

The complaint charges that on August 26, 1971, Henry S. Shatkin, while trading in soybean futures for his own account, purchased 300,000 bushels in excess of the speculative trading limit.

The issuance of a complaint under the Commodity Exchange Act does not constitute proof of violation of the law. Such violation is established only when the Judicial Officer of the Department has made a determination that the evidence substantiates allegations in the complaint. Complaints are filed in the Office of the Hearing Clerk of the Department of Agriculture and are a matter of public record. Pending disposition by the Judicial Officer, it is the policy of the Commodity Exchange Authority not to comment or elaborate on charges contained in complaints or to discuss evidence on which they are based.

A public hearing on the complaint will be held before a referee in Chicago, Illinois, at a date and time to be specified at a later date.

LOAD-DATE: June 16, 2008

