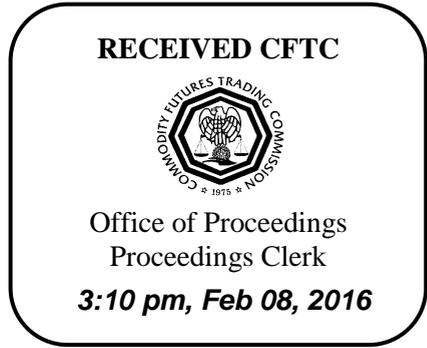




**U.S. COMMODITY FUTURES TRADING COMMISSION**

Three Lafayette Centre  
1155 21st Street, NW, Washington, DC 20581  
[www.cftc.gov](http://www.cftc.gov)

Office of Proceedings



\_\_\_\_\_  
Robert Walker,  
Complainant,  
  
v.  
  
Platinum Trading Solutions, Incorporated,  
Respondent.  
\_\_\_\_\_

CFTC Docket No. 15-R003

**FINAL DECISION**

The parties have elected the *Voluntary* decisional procedure. By electing the Voluntary decisional procedure: the parties have waived the opportunity for an oral hearing, waived the right to receive a written statement of the findings of fact upon which the final decision is based, and waived the right to appeal this final decision to the Commission and to the U.S. Court of Appeals; and complainant has waived the right to prejudgment interest in connection with the reparations award.<sup>1</sup>

After carefully reviewing the evidentiary record,<sup>2</sup> it has been concluded that complainant has established: that an agent of respondent violated Section 40(1)(A) of the Commodity Exchange Act, 7 USC § 6o(1)(A) (2012); that this violation caused

<sup>1</sup> CFTC rules 12.100(b) and 12.106(c).  
<sup>2</sup> Respondent's motion to strike complainant's recording, filed simultaneously with respondent's final statement, is hereby denied. See *Venesky v. Murlas Commodities*, Comm. Fut. L. Rep. ¶ 23,218 (CFTC 1986), and *Stoller v. Siegel Trading Co.*, Comm. Fut. L. Rep. ¶ 22,224 (CFTC 1984).

\$6,665 in damages; and that respondent is liable for the violation of its agent pursuant to Section 2(a)(1)(B) of the Act, 7 U.S.C § 2(a)(1)(B) (2012).<sup>3</sup>

Accordingly, Platinum Trading Solutions, Incorporated is ORDERED to pay to Robert Walker reparations of \$6,665, plus post-judgment interest on that amount at 0.52 %, compounded annually from the date of this final decision to the date of payment, plus \$50 in costs for the filing fee.<sup>4</sup>

February 8, 2016.



Philip V. McGuire,  
Judgment Officer

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<sup>3</sup> This conclusion shall not be deemed a finding of the Commission for the purposes of Section 8a of the Commodity Exchange Act, 7 U.S.C. §12a (2012). CFTC rule 12.106(b)(3).

<sup>4</sup> Respondent's requests for various forms of extraordinary relief beyond dismissal of the complaint, also simultaneously filed with respondent's final statement, are hereby denied. See CFTC rule 12.104(b).