January 4, 2013

# **OneChicago** ×

141 West Jackson, Suite 1065 Chicago, Illinois 60604

Mr. David Stawick Secretary Commodity Futures Trading Commission Three Lafayette Centre 1155 21st Street, N.B. Washington, D.C. 20581

Re: Listing of Security Futures Products

Dear Mr. Stawick:

Pursuant to section 5c(c)(1) of the Commodity Exchange Act, as amended (the "Act"), and section 41.23 of the regulations promulgated by the Commission under the Act, submitted herewith are contract specifications for a new product or products, as appropriate, (the "New Product") that will be listed for trading on OneChicago, LLC ("OneChicago") effective, 1/7/2013. The New Product will be subject to the Rules set forth in Chapter IX of the OneChicago rulebook, as supplemented by the specifications supplement attached hereto as Appendix A.

On behalf of OneChicago, I hereby certify that: (a) the security underlying the New Product satisfies the requirements of section 41.21 of the regulations promulgated by the Commission under the Act; (b) arrangements are in place with a clearing agency registered pursuant to section 17A of the Securities Exchange Act of 1934 (the "Exchange Act") for the payment and delivery of the security underlying the New Product; (c) only futures commission merchants, introducing brokers, commodity trading advisors, commodity pool operators or associated persons subject to suitability rules comparable to those of a national securities association registered pursuant to section 15A(a) of the Exchange Act and the rules and regulations thereunder, except to the extent otherwise permitted under the Exchange Act and the rules and regulations thereunder, may solicit, accept any order for, or otherwise deal in any transaction in or in connection with the New Product; (d) dual trading in the New Product is restricted in accordance with section 41.27 of the regulations promulgated by the Commission under the Act; (e) trading in the New Product is not readily susceptible to manipulation of the price of any New Product, nor to causing or being used in the manipulation of the price of any underlying security, option on such security, or option on a group or index including such security, consistent with the conditions for trading of section 41.25 of the regulations promulgated by the Commission under the Act; (f) procedures are in place for coordinated surveillance among OneChicago, any market on which the security underlying a New Product is traded and other markets on which any related security is traded to detect manipulation and insider trading; (g) an audit trail is in place to facilitate coordinated surveillance among OneChicago, any market on which the security underlying the New Product is traded, and any market on which any related security is traded; (h) procedures are in place to coordinate regulatory trading halts between OneChicago and markets on which the security underlying the New Product is traded and other markets on which any related security is traded; and (i) the margin requirements for the New Product will comply with the provisions specified in sections 41.43 through 41.48 of the regulations promulgated by the Commission under the Act.

On behalf of OneChicago, I hereby further certify that the New Product complies with the additional conditions for trading set forth in section 41.25 of the regulations promulgated by the Commission under the Act, and complies with the Act and the regulations thereunder.

<u>/s/ Thomas McCabe</u> Chief Operating Officer OneChicago, LLC

## to OneChicago Rule 905

# Supplement No. 4036 Single Stock Future: AbbVie Inc

ABBV1C		
ABBV1		
Common Stock		
8:30 a.m. to 3:00 p.m. Central Time		
Any calendar month up to two years.		
Third Friday of contract month and up to four		
additional weekly Friday terminations during a contract month unless not a trading day, then prior Thursday.		
\$0.0100 per share, equal to \$1.00 per contract		
for non block and non EFP trades		
\$0.0001 per share, equal to \$.01 per contract		
for block and EFP trades		
Common Stock Price Legal Width		
share price < \$10 \$ .25		
$10 \le \text{share price} \le 50$ \$ .50		
\$50 < share price \$1.00		
During the last five trading days, <b>13,500</b> net long or short.		
200 Contracts		

## to OneChicago Rule 905

Supplement No. 4037 Single Stock Future: AbbVie Inc

Underlying Security:	AbbVie Inc ("ABBV")		
Futures Symbol:	ABBV1D		
OCX Code:	ABBX1		
Type of Underlying Security:	Common Stock		
Trading Hours:	8:30 a.m. to 3:00 p.m. Central Time		
Delivery Months:	Any calendar month up to two years.		
Termination Dates:	Third Friday of contract month and up to four		
	additional weekly Friday terminations during a		
	contract month unless not a trading day, then prior Thursday.		
Trading Unit:	100 shares of the Underlying Security.		
Minimum Price Fluctuation:	\$0.0100 per share, equal to \$1.00 per contract for non block and non EFP trades		
	\$0.0001 per share, equal to \$.01 per contract		
	for block and EFP trades		
Legal Width Market:			
	Common Stock Price Legal Width		
	share price < \$10 \$.25		
	$10 \leq \text{share price} \leq 50$ \$ .50		
	\$50 < share price \$1.00		
	During the last first testing days <b>40,500</b> and laws a she t		
Position Limit or Position Accountability:	During the last five trading days, <b>13,500</b> net long or short.		
Reportable Position:	200 Contracts		

to OneChicago Rule 905

# Supplement No. 4038 Single Stock Future: Georgia Gulf Corp

Underlying Security:	Georgia Gulf Corp ("GGC")
Futures Symbol:	GGC1C
OCX Code:	GGCL1
Type of Underlying Security:	Common Stock
Trading Hours:	8:30 a.m. to 3:00 p.m. Central Time
Delivery Months:	Any calendar month up to two years.
Termination Dates:	Third Friday of contract month and up to four
	additional weekly Friday terminations during a
	contract month unless not a trading day, then prior Thursday.
Trading Unit:	100 shares of the Underlying Security.
Minimum Price Fluctuation:	\$0.0100 per share, equal to \$1.00 per contract
	for non block and non EFP trades
	\$0.0001 per share, equal to \$.01 per contract
	for block and EFP trades
Legal Width Market:	
	Common Stock Price Legal Width
	share price < \$10 \$ .25
	$10 \leq \text{share price} \leq $50$ \$ .50
	\$50 < share price \$1.00
	$\phi_{30} \sim \text{share price} \phi_{1.00}$
Position Limit or Position Accountability:	During the last five trading days, <b>13,500</b> net long or short.
Reportable Position:	200 Contracts

to OneChicago Rule 905

Supplement No. 4039 Single Stock Future: Georgia Gulf Corp

GC1D GCT1 common Stock :30 a.m. to 3:00 p.m. Central Time hy calendar month up to two years. ird Friday of contract month and up to four Iditional weekly Friday terminations during a ontract month unless not a trading day, then prior Thursday. 00 shares of the Underlying Security.	
ommon Stock 30 a.m. to 3:00 p.m. Central Time by calendar month up to two years. ird Friday of contract month and up to four Iditional weekly Friday terminations during a untract month unless not a trading day, then prior Thursday.	
30 a.m. to 3:00 p.m. Central Time ny calendar month up to two years. ird Friday of contract month and up to four Iditional weekly Friday terminations during a intract month unless not a trading day, then prior Thursday.	
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ntract month unless not a trading day, then prior Thursday.	
00 shares of the Underlying Security.	
\$0.0100 per share, equal to \$1.00 per contract	
for non block and non EFP trades	
\$0.0001 per share, equal to \$.01 per contract	
block and EFP trades	
ommon Stock Price Legal Width	
are price < \$10 \$ .25	
$0 \le \text{share price} \le \$50$ \$ .50	
50 < share price \$1.00	
so < share price \$1.00	
During the last five trading days, <b>13,500</b> net long or short.	

#### to OneChicago Rule 905

# Supplement No. 4040 Single Stock Future: Herbalife Ltd

Jnderlying Security:	Herbalife Ltd ("HLF")		
-utures Symbol:	HLF1C		
OCX Code:	HLF1		
Type of Underlying Security:	Common Stock		
Trading Hours:	8:30 a.m. to 3:00 p.m. Central	Time	
Delivery Months:	Any calendar month up to two y		
Fermination Dates:	Third Friday of contract month a	and up to four	
	additional weekly Friday terminations during a		
	contract month unless not a trading day, then prior Thursday.		
Trading Unit:	100 shares of the Underlying S	100 shares of the Underlying Security.	
Minimum Price Fluctuation:	\$0.0100 per share, equal to \$1	\$0.0100 per share, equal to \$1.00 per contract	
	for non block and non EFP trade	for non block and non EFP trades	
	\$0.0001 per share, equal to \$.07	\$0.0001 per share, equal to \$.01 per contract	
	for block and EFP trades		
_egal Width Market:			
-	Common Stock Price	Legal Width	
		\$ .25	
		\$ .50	
		\$1.00	
		ų 1.00	
Position Limit or Position Accountability:	During the last five trading days	s, <b>13,500</b> net long or short.	

#### to OneChicago Rule 905

# Supplement No. 4041 Single Stock Future: Herbalife Ltd

Underlying Security:	Herbalife Ltd ("HLF")		
Futures Symbol:	HLF1D		
OCX Code:	HLFH1		
Type of Underlying Security:	Common Stock		
Trading Hours:	8:30 a.m. to 3:00 p.m. Central Time		
Delivery Months:	Any calendar month up to two years.		
Termination Dates:	Third Friday of contract month and up to four		
	additional weekly Friday terminations during a		
	contract month unless not a trading day, then prior Thursday.		
Trading Unit:	100 shares of the Underlying Security.		
Minimum Price Fluctuation:	\$0.0100 per share, equal to \$1.00 per contract		
	for non block and non EFP trades		
	\$0.0001 per share, equal to \$.01 per contract		
	for block and EFP trades		
_egal Width Market:	Common Stock Price Legal Width		
	share price < \$10 \$ .25		
	$10 \le \text{share price} \le 50$ $3.50$		
	\$50 < share price \$1.00		
	During the last five trading days, <b>13,500</b> net long or short.		
Position Limit or Position Accountability:	During the last live trading days, <b>13,500</b> het long of short.		