


World Financial Center
One North End Avenue
New York, New York 10282

BY ELECTRONIC TRANSMISSION

Submission No. 13-6
January 10, 2013

Mr. David Stawick
Secretary of the Commission
Office of the Secretariat
Commodity Futures Trading Commission
Three Lafayette Centre
1155 21st Street, NW
Washington, DC 20581

Re: New Rule 4.20 – Telephone Recordings
Submission Pursuant to Section 5c(c)(1) of the Act and Regulation 40.6

Dear Mr. Stawick:

Pursuant to Section 5c(c)(1) of the Commodity Exchange Act, as amended, and Commission Regulation 40.6(a), ICE Futures U.S., Inc. (“Exchange”) hereby submits New Rule 4.20 which provides that the Exchange will record and archive all conversations conducted by Members and Member Firms on their Exchange Trading Floor telephones for a minimum period of 14 Calendar Days following the day when such recordings are made. All such recordings will be made and archived at the expense of the relevant Member or Member Firm.

New Rule 4.20 will be effective with the start of trading on Friday, February 1, 2013. The Exchange certifies that the amendment complies with the requirements of the Commodity Exchange Act and the rules and regulations promulgated thereunder. Specifically, the New Rule complies with Core Principle 10 (Trade Information) as it provides for the recording and safe storage of information related to trading.

No substantive opposing views were expressed by members or others. The Exchange certifies that, concurrent with this filing, a copy of this submission was posted on the Exchange’s website and may be accessed at (<https://www.theice.com/notices/RegulatoryFilings.shtml>).

If you have any questions or need further information, please contact me at 212-748-4021 or at jason.fusco@theice.com.

Sincerely,

A handwritten signature in black ink, appearing to read "Jason V. Fusco". The signature is written in a cursive style with a large, looping initial "J".

Jason V. Fusco
Assistant General Counsel
Market Regulation

Enc.
cc: Division of Market Oversight
New York Regional Office

EXHIBIT A

4.20 Telephone Recordings

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