



Via Electronic Mail

April 3, 2013

Ms. Melissa Jurgens
Secretary of the Commission
Commodity Futures Trading Commission
Three Lafayette Centre
1155 21st Street NW
Washington, DC 20581

SUBJECT: Rule Certification

Dear Ms. Jurgens:

Pursuant to Commodity Exchange Act ("CEAct") Section 5c and Commodity Futures Trading Commission ("CFTC" or "Commission") Regulation 40.6(a), the Minneapolis Grain Exchange, Inc. ("MGEX" or "Exchange") hereby certifies that amendments of the respective attached Definition, Rule and Regulations of the MGEX Rules and Regulations comply with the CEAct and the Commission regulations promulgated thereunder. MGEX further certifies that the submission and pending changes to the MGEX Rules and Regulations have been posted on the Exchange website at the following link: <http://www.mgex.com/regulation.html>.

The explanation and purpose for the housekeeping amendment to Chapter 1 Definitions is to update the reference to CFTC Regulations.

The purpose and explanation for the deletion of Rule 756.00. is to remove a rule that is no longer relevant due to futures trading solely on the electronic trading platform. The purpose and explanation for the amendments to Regulations 2017.00. and 2062.00. is to ensure the language of the Regulations clearly and accurately set forth the intended information. The purpose and explanation for the addition of Regulation 2062.02. is to codify electronic audit trail requirements.

Pursuant to the authority of MGEX Rule 210.01., the Board of Directors unanimously approved the removal of Rule 756.00., the amendment of Regulations 2017.00. and 2062.00., and the addition of Regulation 2062.02. There were no substantive opposing views expressed by the Board of Directors. Pursuant to the authority of MGEX Rule 204.01., a majority of the Record Owners of the Exchange approved the amendment of the above-mentioned Rule. These amendments to the MGEX Rules and Regulations are to be effective as soon as practicable after the 10th business day following the date of this filing.

If there are any questions regarding this submission, please contact me at (612) 321-7128. Thank you for your attention to this matter.

Sincerely,

A handwritten signature in black ink, appearing to read "Eric J. Delain". The signature is fluid and cursive, with a long horizontal stroke at the end.

Eric J. Delain
Corporate Counsel

Enclosure

cc: Thomas J. Bloom

The following MGEX Definition, Rules and Regulations are to be amended. Additions are underlined while deletions are marked through.

PUBLIC DIRECTOR: An individual meeting the qualifications as described in Core Principle ~~15~~16, Appendix B to Part 38 of CFTC Regulations and in other Regulations promulgated by the CFTC and adopted by the Board.

~~756.00. RECORDS OF ORDERS.~~

~~Each Member who receives a customer's order which is not in the form of a written record including the account identification, order number and the date and time such order was transmitted or received on the Trading Floor, must immediately upon receiving such order prepare a written record, in non-erasable ink, which includes the account identification and order number and shall record thereon, by time-stamp, the date and time the order is received. Except, however, that any Member who executes trades for the house account of a Clearing Member Firm shall be exempt from this requirement if the Clearing Member Firm elects to place verbal orders with the executing Member. Member to Member orders, wherein one Member executes an order for another Member, must be reduced to writing on an order in accordance with the provisions of this Rule.~~

2017.00. RECORDING ~~OPTIONS~~ ORDERS.

Each Member who, ~~on the floor of the Exchange,~~ receives an order from an ~~Options~~ customer, including an ~~Options~~ customer who is ~~another~~ a Floor Trader or Floor Broker, which is not in the form of a written record showing the account identification, order number and the date and time, to the nearest minute such order was transmitted or received ~~on the floor of the Exchange,~~ shall immediately upon receipt thereof prepare a written record of such order, including an account identification and order number and shall record thereon, by time-stamp, the date and time, to the nearest minute, the order is received.

2062.00. TRADING CARDS: FORM, PREPARATION AND MAINTENANCE.

- A. Trading cards used to record the execution of purchase or sale of a any commodity for Futures delivery or commodity Options transaction on or subject to governed by the MGEX Rules and Regulations ~~of the Exchange~~ must contain:
1. Pre-printed Member identification or other unique identifying information which would permit the trading cards of one Member to be distinguished from cards of all other Members;
 2. Pre-printed sequence numbers to permit the intra-day sequencing of trading cards; and
 3. Unique and pre-printed identifying information which would distinguish each of the trading cards prepared by a Member from his/her other trading cards for no less than a one (1) week period.

B. Trading cards prepared by the Member must also include the following:

1. Member's name
2. Clearing Member's name
3. Transaction date
4. Quantity bought or sold
5. Commodity
6. Contract for future delivery or physical
7. Future (month) or expiration date
8. Price or premium
9. Put or call and strike price
10. Transaction time to the minute
11. Opposite Broker/Trader
12. Opposite Clearing Member
13. Indicators for the following types of transactions: (C) cash exchange; (T) office transfer; (S) spread; (D) delivery; (E) exercise; (R) exchange for risk
14. Any other information required by the Exchange

BC. A Member recording transactions on trading cards must use non-erasable ink to record each purchase and sale in exact chronological order of execution on sequential lines of the card. Skipping of lines on the trading card is prohibited. If blank lines remain after the last execution recorded on a trading card the remaining lines should be marked through. When two-sided trading cards are used, blank lines on both sides of the card must be marked through.

~~CD.~~ A Member must use a new trading card at the beginning of each designated interval required pursuant to **Exchange Regulation 2058.00**.

~~DE.~~ A Member ~~must be is~~ accountable for all trading cards prepared pursuant to **Exchange MGEX Rules and Regulations** in exact numerical sequence, whether or not such trading cards are relied upon as original source documents.

~~EF.~~ A Member must identify on his/her trading cards trades executed during opening and closing periods in the manner required by **Exchange Regulation 2059.00**.

~~F. Trading cards prepared by the Member must include the following:~~

- ~~1. Member's name~~
- ~~2. Clearing Member's name~~
- ~~3. Transaction date~~
- ~~4. Quantity bought or sold~~
- ~~5. Commodity~~
- ~~6. Contract for future delivery or physical~~
- ~~7. Future (month) or expiration date~~
- ~~8. Price or premium~~
- ~~9. Put or call and strike price~~
- ~~10. Transaction time to the minute~~
- ~~11. Opposite Broker/Trader~~

- ~~12. Opposite Clearing Member~~
- ~~13. Indicators for the following types of transactions: (C) cash exchange; (T) office transfer; (S) spread; (D) delivery~~
- ~~14. Any other information required by the Exchange~~

~~Note: For single line entry differential spreads (SLEDS) the Member may record the spread on one side of the trading card.~~

2062.02. ELECTRONIC AUDIT TRAIL AND OTHER RECORDKEEPING REQUIREMENTS.

All Clearing Members are required to maintain the order routing and front-end audit trail for all electronic orders including, but not limited to, order entry, modification, cancellation and responses to such messages entered into the Electronic Trading System by the Clearing Member or its customers.

The data must contain a record of all FIX Tag information and fields, including, but not limited to: transaction date, product, Exchange code, expiration month, quantity, order type, order qualifier, price, buy/sell indicator, stop/trigger price, order number, unique transaction number, account number, session ID, Tag 50 ID, automated or manual indicator (Tag 1028), host order number, trader order number, clearing member, type of action, action status code, customer type indicator, origin and timestamps. For executed orders, records must include the execution time of the trade of the trade along with all fill information.

Clearing Members must also maintain written procedures and policies that the Exchange deems necessary, and in a method and format acceptable to the Exchange.