



Atlanta Calgary Chicago Houston London New York Singapore

Submission No. 13-41  
May 2, 2013

Ms. Melissa Jurgens  
Assistant Secretary  
Commodity Futures Trading Commission  
Three Lafayette Centre  
1155 21<sup>st</sup> Street, NW  
Washington, DC 20581

**Re: New RIN Broker Program**  
**Submission Pursuant to Section 5c(c)(1) of the Act and Regulation 40.6(a)**

Dear Ms. Jurgens:

Pursuant to Section 5c(c)(1) of the Commodity Exchange Act, as amended, and Commission Regulation 40.6(a), ICE Futures U.S., Inc. (“Exchange”) self certifies the new RIN Broker Program (“Program”), under which all brokers will receive a payment of \$0.25/per side for all non-screen based trades of RIN D-4, RIN D-5 and RIN D-6 futures contracts. All brokers will automatically be enrolled in the Program, which will become effective on Friday, May 17, 2013 and run for an initial term through December 31, 2013. The Program rebate will be published on the Exchange’s website with other broker incentives.

The Exchange certifies that the Program complies with the requirements of the Commodity Exchange Act and the rules and regulations promulgated thereunder. In particular, the amendments comply with Core Principle 4 (Prevention of Market Disruption), Core Principle 9 (Execution of Transactions) and Core Principle 12 (Protection of Market Participants). The Program is structured so that it does not create incentives for participants to engage in market abuses such as manipulative trading or wash sales. Furthermore, the Program does not impact order execution priority or otherwise give participants any execution preference or advantage. Participants in the Program are subject to the Exchange’s rules which prohibit abusive trading practices as set forth in Chapters 4 and 27 of the Rules, which are enforce by the Market Regulation Department.

The Exchange is not aware of any substantive opposing views to the Program and further certifies that a copy of this submission has been posted on the Exchange’s website at <https://www.theice.com/notices/RegulatoryFilings.shtml>, (consistent with the petition for Confidential Treatment filed contemporaneously with the Commission).

If you have any questions or need further information, please contact me at 212-748-4021 or at [jason.fusco@theice.com](mailto:jason.fusco@theice.com).

Sincerely,

A handwritten signature in black ink, appearing to read "Jason V. Fusco".

Jason V. Fusco  
Assistant General Counsel  
ICE Futures U.S, Inc.

Enc.  
cc: Division of Market Oversight  
New York Regional Office