

August 2, 2012

## SENT VIA E-MAIL

Mr. David Stawick Secretary of the Commission Commodity Futures Trading Commission Three Lafayette Centre 1155 21<sup>st</sup> Street, N.W. Washington, D.C. 20581

Re: Submission Pursuant to CFTC Regulation Section 40.6(a)

Dear Mr. Stawick:

- I. Certification. The Kansas City Board of Trade ("KCBT") hereby gives notification to the Commission pursuant to Commission Regulation Section 40.6(a), of its intention to implement new Rule 1100.01 pertaining to consent to Exchange jurisdiction by any person initiating or executing a transaction on or subject to the Rules of the Exchange directly or through an intermediary, and any person for whose benefit such a transaction has been initiated or executed. The KCBT Board of Directors, in a regular meeting held on July 31, 2012 and acting pursuant to authority granted them under Rule 233.01(o), unanimously approved the aforementioned new rule. KCBT certifies to the Commission that to the best of its knowledge, new Rule 1100.01 is in compliance with the Commodity Exchange Act and the regulations thereunder.
- II. **Date of Implementation**. KCBT intends to make new Rule 1100.01 effective Monday, August 20, 2012, which is at least ten business days following Commission receipt of this submission.
- III. **Substantive Opposing Views**. To the knowledge of the Board of Directors and staff, no substantive opposing views were expressed by members or others regarding new Rule 1100.01.

Mr. David Stawick August 2, 2012 Page 2 of 2

IV. Text of New Rule.

1100.01 Consent to Exchange Jurisdiction

Any Person initiating or executing a transaction on or subject to the Rules of the Exchange directly or through an intermediary, and any Person for whose benefit such a transaction has been initiated or executed, expressly consents to the jurisdiction of the Exchange and agrees to be bound by and comply with the Rules of the Exchange in relation to such transactions, including, but not limited to, rules requiring cooperation and participation in investigatory and disciplinary processes.

- V. **Rationale for Action Taken**. KCBT Rule 1100.01 is being implemented in order for KCBT to be in compliance with Dodd/Frank DCM Final Rule 38.151(a). The proposed rule is in compliance with applicable provisions of the Act, including core principles and the Commission's regulations thereunder.
- VI. **Closing**. Any questions regarding this submission should be directed to the undersigned at 816-753-7500 or jott@kcbt.com.

KCBT certifies that it has posted a notice of pending certification with the Commission and a copy of the submission, concurrent with the filing of the submission with the Commission on our website, thereby providing public notice. The link to the posting is <u>http://www.kcbt.com/histdata/rule book/rule book kcbt</u> proposed rules.html

Sincerely,

Joseph Ott

Vice President - Compliance