World Financial Center
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New York, New York 10282
BY ELECTRONIC TRANSMISSION

## C.F.T.C. OFFICE OF THE SECRETARIAT

2010 OCT 7 PM 2 17

Submission No. 10-40 October 7, 2010

Mr. David Stawick
Secretary of the Commission
Office of the Secretariat
Commodity Futures Trading Commission
Three Lafayette Centre
1155 21<sup>st</sup> Street, NW
Washington, DC 20581

Re: Amendments to Disclaimer for Russell Complex Contracts -Submission Pursuant to Section 5c(c)(1) of the Act and Regulation 40.6

Dear Mr. Stawick:

cc:

Pursuant to Section 5c(c)(1) of the Commodity Exchange Act, as amended, and Commission Regulation 40.6, ICE Futures U.S., Inc. ("Exchange") submits, by written certification, amendments to the disclaimer for Russell Complex Contracts, attached as Exhibit A.

At the end of the general rules governing the terms and conditions for all of the Russell Complex Contracts listed at the Exchange, there is a section titled, "Interpretations and Special Notices Relating to Rules of This Chapter". Currently, this section contains a disclaimer of liability of the Frank Russell Company ("Russell") and the Exchange as required by a prior License Agreement allowing for the listing and trading of the Russell Complex Contracts. The current License Agreement requires a different disclaimer. The amendments, then, conform the disclaimer to the language required by the current License Agreement.

The Exchange certifies that the amendments comply with the requirements of the Commodity Exchange Act and the rules and regulations promulgated thereunder. No substantive opposing views were expressed by members or others with respect to the amendments.

The amendments were adopted by the Exchange's Board of Directors at its meeting on October 6, 2010 and will go into effect on October 11, 2010.

If you have any questions or need further information, please contact me at 212-748-4084 or jill.fassler@theice.com.

Sincerely,

Jill S. Fassler Vice President Associate General Counsel

Division of Market Oversight, New York Regional Office

## **EXHIBIT A**

(In the text of the amendments below, additions are underlined and deletions are bracketed and lined out.)

## INTERPRETATIONS AND SPECIAL NOTICES RELATING TO RULES OF THIS CHAPTER

The <u>Intercontinental</u>Exchange, <u>Inc.</u> has entered into <u>an</u> agreement[s] with the Frank Russell Company which permit it to utilize the Russell trade names and trademarks solely and only in connection with the creation, marketing and trading of contracts <u>at the Exchange</u> based on the Russell 1000 [Stock Price] Index, the Russell 1000 Growth [Stock Price] Index, the Russell 2000 Value [Stock Price] Index, the Russell 2000 [Stock Price] Index, the Russell 2000 Growth [Stock Price] Index, the Russell 2000 Value [Stock Price] Index and the Russell 3000 [Stock Price] Index. Frank Russell Company owns trade name and trademark rights to "Russell 1000", "Russell 1000 Growth", Russell 1000 Value", "Russell 2000", "Russell 2000 Growth", "Russell 2000 Value" and "Russell 3000".

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