



World Financial Center  
One North End Avenue  
New York, New York 10282

**BY ELECTRONIC TRANSMISSION**

Amended Submission No. 12-60A  
October 15, 2012

Secretary of the Commission  
Office of the Secretariat  
Commodity Futures Trading Commission  
Three Lafayette Centre  
1155 21<sup>st</sup> Street, NW  
Washington, DC 20581

**Re: Amendments to Submission 12-60  
Submission Pursuant to Section 5c(c)(1) of the Act and Regulation 40.6(a)**

Dear Sir or Madam:

In a submission dated October 1, 2012, ICE Futures U.S., Inc. (“Exchange”) submitted, by written certification, amendments to various Exchange Rules in accordance with Section 5c(c)(1) of the Commodity Exchange Act, as amended, and Commission Regulation 40.6(a) (Submission No. 12-60). The amendments were adopted to comply with new Commission Regulations 38.1 *et seq.* which apply to the designation and operation of contract markets. At the request of Commission staff, the Exchange is revising the amendments to Rules 4.02 and 21.03(f) previously described in Submission 12-60, as set forth in attached Exhibit A.

The Exchange certifies that the rules and amendments comply with the requirements of the CEA and the rules and regulations promulgated thereunder. No substantive opposing views were expressed by members or others with respect to the rules or the amendments. The Exchange further certifies that, concurrent with this filing, a copy of this submission was posted on the Exchange’s website at (<https://www.theice.com/notices/RegulatoryFilings.shtml>).

If you have any questions or need further information, please contact me at 212-748-4021 or at ([jason.fusco@theice.com](mailto:jason.fusco@theice.com)).

Sincerely,

A handwritten signature in cursive script, appearing to read "Jason V. Fusco".

Jason V. Fusco  
Assistant General Counsel  
Market Regulation

Enc.  
cc: Division of Market Oversight  
New York Regional Office

## EXHIBIT A

### Rule 4.02. Trade Practice Violations

In connection with the placement of any order or execution of any Transaction, it shall be a violation of the Rules for any Person to:

\* \* \*

(g) Prearrange the execution of transactions in Exchange products for the purpose of passing or transferring equity between accounts.

(h) Engage in improper cross trading.

(i) Engage in front running.

(j) Engage in any other manipulative or disruptive trading practices prohibited by the Act or by the Commission pursuant to Commission regulation.

### Rule 21.03. The Business Conduct Committee

(f)(i) Any hearing to review a summary access denial pursuant to Rule 21.02(f) shall be conducted by a subcommittee of the Business Conduct Committee within 10 days of receipt of a request.

\* \* \*

(iv) Promptly following any hearing the subcommittee shall render a written decision based on the weight of the evidence contained in the record of the proceeding and shall provide a copy of the decision to the Person. The decision shall include (i) a summary of the charges ; (ii) a summary of the answer or other response; (iii) a brief summary of the evidence produced at the hearing; (iv) a statement of findings and conclusions with respect to each charge, including any specific rules found to have been violated; and (v) a declaration of the subcommittee's findings and order. The decision of the hearing panel shall constitute the final action of the Exchange with respect to the summary access denial.