

Christopher Bowen Managing Director and Chief Regulatory Counsel Legal Department

December 10, 2013

VIA E-MAIL



Ms. Melissa Jurgens Office of the Secretariat Commodity Futures Trading Commission Three Lafayette Centre 1155 21st Street, N.W. Washington, D.C. 20581

## RE: SUPPLEMENTAL SUBMISSION: CME/CBOT Submission No. 13-435S: Additional Supplemental Information Relating to the CME/CBOT Asset Manager Fixed Income Incentive Program. Subject to a Freedom of Information Act Protection.

Dear Ms. Jurgens:

Previously, pursuant to Submission No. 13-435 dated December 10, 2013, Chicago Mercantile Exchange Inc. ("CME") and the Board of Trade of the City of Chicago, Inc. ("CBOT") (collectively, the "Exchanges") notified the Commodity Futures Trading Commission ("CFTC" or "Commission") of plans to modify the Asset Manager Fixed Income Incentive Program ("Program").

In this letter, the Exchanges are providing the Commission with certain additional information related to the incentives under the Program. The additional supplemental information is provided in Appendix A, for which a request for confidential treatment is being simultaneously submitted to the Commission. A copy of that request for confidential treatment is included with this supplemental filing.

Modifications appear below, with additions underscored and deletions overstruck.

The Exchanges certify that the Program complies with the Commodity Exchange Act ("CEA") and the regulations thereunder. A concise explanation and analysis of the operation, purpose and effect of the Program was provided in Submission No. 13-435. There were no substantive opposing views to this Program.

The Exchanges certify that a description of this submission has been concurrently posted on the Exchanges' website at <u>http://www.cmegroup.com/market-regulation/rule-filings.html</u>.

If you require any additional information regarding this submission, please contact Tim Elliott at 312-466-7478 or via e-mail at <u>tim.elliott@cmegroup.com</u>, or contact me at 212-299-2200. Please reference our CME/CBOT Submission No. 13-435S in any related correspondence.

Sincerely,

/s/ Christopher K. Bowen Managing Director and Chief Regulatory Counsel

## Attachment: Appendix A

1 North End Avenue New York, NY 10282 T 212 299 2200 F 212 299 2299 christopher.bowen@cmegroup.com cmegroup.com



Christopher Bowen Managing Director and Chief Regulatory Counsel Legal Department

December 10, 2013

VIA E-MAIL

Ms. Melissa Jurgens Office of the Secretariat Commodity Futures Trading Commission Three Lafayette Centre 1155 21st Street, N.W. Washington, D.C. 20581

CONFIDENTIAL TREATMENT REQUESTED

## Re: FOIA Confidential Treatment Request

Dear Ms. Jurgens:

By e-mail dated today, December 10, 2013, Chicago Mercantile Exchange Inc. ("CME") and the Board of Trade of the City of Chicago, Inc. ("CBOT") (collectively, the "Exchanges") submitted a supplemental rule certification filing (Submission No. 13-435S) to the Commodity Futures Trading Commission ("CFTC" or "Commission"). This supplemental filing includes an appendix ("Appendix A"), which is attached.

Pursuant to Sections 8 and 8(a) of the Commodity Exchange Act ("CEA"), as amended, and Commission Regulation 145.9(d), the Exchanges request confidential treatment of Appendix A on the grounds that Appendix A contains confidential commercial information of the submitter (CME and CBOT). A detailed written justification supporting this request is attached hereto as Exhibit 1. Pursuant to Commission Regulation 145.9(d)(5), the Exchanges request that confidential treatment be maintained for Appendix A until further notice from the Exchanges. We also request that the Commission notify the undersigned immediately after receiving any FOIA request for said Appendix A or any other court order, subpoena or summons for same. Finally, we request that we be notified in the event the Commission intends to disclose such Appendix A to Congress or to any other governmental agency or unit pursuant to Section 8 of the CEA. The Exchanges do not waive their notification rights under Section 8(f) of the CEA with respect to any subpoena or summons for such Appendix A.

Please contact the undersigned at (212) 299-2200 should you have any questions concerning this letter.

Sincerely,

/s/ Christopher K. Bowen Managing Director and Chief Regulatory Counsel

Enclosure: Exhibit 1