

February 28, 2014

VIA E-MAIL

Ms. Melissa Jurgens Office of the Secretariat Commodity Futures Trading Commission Three Lafayette Centre 1155 21st Street, N.W. Washington, D.C. 20581

RE: CFTC Regulation 40.6(a) Certification. Notification of Amendments to CBOT Dow Jones-UBS Commodity Index Futures and Dow Jones-UBS Roll Select Commodity Index Futures Contracts. CBOT Submission No. 14-070

Dear Ms. Jurgens:

The Board of Trade of the City of Chicago, Inc. ("CBOT" or "Exchange") is notifying the Commodity Futures Trading Commission ("CFTC" or "Commission") that it is self-certifying rule amendments to the CBOT Dow Jones-UBS Commodity Index Futures contract (Rulebook Chapter: 29; Clearing Code: 70; CME Globex Code: AW) and the Dow Jones-UBS Roll Select Commodity Index Futures contract (Rulebook Chapter: 29D; Clearing Code: DRS; CME Globex Code: DRS) effective on Sunday, March 16, 2014 for trade date Monday, March 17, 2014. The amendments will: (1) delete outdated references to a futures price index; and (2) reflect that the current year's cash index calculation specifications are applied beginning with the January contract month. There is no current listing for any January contract month in either futures contract.

The rule amendments are provided in blackline format in Appendix A, with additions <u>underscored</u> and deletions overstruck.

CBOT business staff responsible for the rule amendments and the Exchange legal department collectively reviewed the designated contract market core principles ("Core Principles") as set forth in the Commodity Exchange Act ("Act" or "CEA"). During the review, CBOT staff identified that the amendments may impact the following Core Principles:

- <u>Daily Publication of Trading Information</u>: CBOT will continue to comply with this Core Principle by making public daily information on settlement prices, volume, open interest, and opening and closing ranges for the futures contract listed above.
- <u>Availability of General Information</u>: Pursuant to the Exchange's obligations under this Core Principle, the Exchange will advise the marketplace of the amendments via the issuance of a Special Executive Report.

Pursuant to Section 5c(c) of the CEA and CFTC Regulation 40.6(a), the Exchange hereby certifies that the amendments comply with the Act, including regulations under the Act. The Exchange is not aware of any substantive opposing views to the proposed amendments.

The Exchange certifies that this submission has been concurrently posted on the Exchange's website at http://www.cmegroup.com/market-regulation/rule-filings.html.

Should you have any questions concerning the above, please contact the undersigned at 212-299-2200 or via e-mail at <u>Christopher.Bowen@cmegroup.com</u>.

Sincerely,

/s/ Christopher Bowen Managing Director and Chief Regulatory Counsel

Attachment: Appendix A – Rulebook Chapter 29 and 29D Rule Amendments

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APPENDIX A

(Additions underlined, deletions overstruck)

CHAPTER 29

CBOT Dow Jones-UBS Commodity Index Futures

29102. TRADING SPECIFICATIONS

29102.B. Trading Unit

The unit of trading shall be \$100.00 times the Dow Jones-UBS Commodity Indexsm price which corresponds to each futures contract.

The Dow Jones-UBS Commodity Index_{5M} is a liquidity and world production, dollar-weighted, arithmetic average of prices of exchange-traded physical commodity futures contracts which satisfy specified criteria. For any January contract, the futures price index shall be determined using the prior year's DJ-UBS CI specifications. February January through December contracts months for a given year shall use the current that year's DJ-UBS CI_{SM} specifications.

CHAPTER 29D

Dow Jones-UBS Roll Select Commodity Index Futures

29D102. TRADING SPECIFICATIONS

29D102.B. Trading Unit

The unit of trading shall be \$100.00 times the DJ-UBS RSCI index price which corresponds to each futures contract.

For any January contract, the futures price index shall be determined using the prior year's DJ-UBS RSCI specifications. February January through December contracts months for a given year shall use the current that year's DJ-UBS RSCI specifications.